

# PREA Facility Audit Report: Final

**Name of Facility:** John J. Moran Medium Security Facility

**Facility Type:** Prison / Jail

**Date Interim Report Submitted:** NA

**Date Final Report Submitted:** 05/30/2025

## Auditor Certification

The contents of this report are accurate to the best of my knowledge.



No conflict of interest exists with respect to my ability to conduct an audit of the agency under review.



I have not included in the final report any personally identifiable information (PII) about any inmate/resident/detainee or staff member, except where the names of administrative personnel are specifically requested in the report template.



**Auditor Full Name as Signed:** Elaine Brideschge

**Date of Signature:** 05/30/2025

## AUDITOR INFORMATION

**Auditor name:** Brideschge, Elaine

**Email:** risingsunauditing@gmail.com

**Start Date of On-Site Audit:** 04/07/2025

**End Date of On-Site Audit:** 04/09/2025

## FACILITY INFORMATION

**Facility name:** John J. Moran Medium Security Facility

**Facility physical address:** 51 West Road , Cranston , Rhode Island - 02920

**Facility mailing address:**

## Primary Contact

<b>Name:</b>	Heather Daglieri
<b>Email Address:</b>	Heather.Daglieri@doc.ri.gov
<b>Telephone Number:</b>	401-462-3087

#### Warden/Jail Administrator/Sheriff/Director

<b>Name:</b>	Kathy Lyons
<b>Email Address:</b>	Kathy.Lyons@doc.ri.gov
<b>Telephone Number:</b>	401-462-3701

#### Facility PREA Compliance Manager

<b>Name:</b>	Jack Vicino
<b>Email Address:</b>	jack.vicino@doc.ri.gov
<b>Telephone Number:</b>	401-462-2515

#### Facility Health Service Administrator On-site

<b>Name:</b>	Glenn Tucker, RIDOC Medical Director
<b>Email Address:</b>	Glenn.Tucker@doc.ri.gov
<b>Telephone Number:</b>	401-462-1115

#### Facility Characteristics

<b>Designed facility capacity:</b>	1186
<b>Current population of facility:</b>	826
<b>Average daily population for the past 12 months:</b>	808
<b>Has the facility been over capacity at any point in the past 12 months?</b>	No
<b>What is the facility's population designation?</b>	Men/boys

<b>In the past 12 months, which population(s) has the facility held? Select all that apply (Nonbinary describes a person who does not identify exclusively as a boy/man or a girl/woman. Some people also use this term to describe their gender expression. For definitions of “intersex” and “transgender,” please see <a href="https://www.prearesourcecenter.org/standard/115-5">https://www.prearesourcecenter.org/standard/115-5</a>)</b>	
<b>Age range of population:</b>	19-86 years old
<b>Facility security levels/inmate custody levels:</b>	Medium Security
<b>Does the facility hold youthful inmates?</b>	No
<b>Number of staff currently employed at the facility who may have contact with inmates:</b>	194
<b>Number of individual contractors who have contact with inmates, currently authorized to enter the facility:</b>	814
<b>Number of volunteers who have contact with inmates, currently authorized to enter the facility:</b>	814

<b>AGENCY INFORMATION</b>	
<b>Name of agency:</b>	Rhode Island Department of Corrections
<b>Governing authority or parent agency (if applicable):</b>	State of Rhode Island
<b>Physical Address:</b>	40 Howard Avenue, Cranston, Rhode Island - 02920
<b>Mailing Address:</b>	
<b>Telephone number:</b>	4014622611

<b>Agency Chief Executive Officer Information:</b>
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<b>Name:</b>	Wayne Salisbury, Jr.
<b>Email Address:</b>	wayne.salisbury@doc.ri.gov
<b>Telephone Number:</b>	401-462-3952

Agency-Wide PREA Coordinator Information			
<b>Name:</b>	Heather Daglieri	<b>Email Address:</b>	heather.daglieri@doc.ri.gov

Facility AUDIT FINDINGS	
Summary of Audit Findings	
<p>The OAS automatically populates the number and list of Standards exceeded, the number of Standards met, and the number and list of Standards not met.</p> <p>Auditor Note: In general, no standards should be found to be "Not Applicable" or "NA." A compliance determination must be made for each standard. In rare instances where an auditor determines that a standard is not applicable, the auditor should select "Meets Standard" and include a comprehensive discussion as to why the standard is not applicable to the facility being audited.</p>	
Number of standards exceeded:	
5	<ul style="list-style-type: none"> <li>• 115.33 - Inmate education</li> <li>• 115.42 - Use of screening information</li> <li>• 115.51 - Inmate reporting</li> <li>• 115.64 - Staff first responder duties</li> <li>• 115.71 - Criminal and administrative agency investigations</li> </ul>
Number of standards met:	
40	
Number of standards not met:	
0	

## POST-AUDIT REPORTING INFORMATION

### GENERAL AUDIT INFORMATION

#### On-site Audit Dates

1. Start date of the onsite portion of the audit:	2025-04-07
2. End date of the onsite portion of the audit:	2025-04-09

#### Outreach

10. Did you attempt to communicate with community-based organization(s) or victim advocates who provide services to this facility and/or who may have insight into relevant conditions in the facility?	<input checked="" type="radio"/> Yes <input type="radio"/> No
a. Identify the community-based organization(s) or victim advocates with whom you communicated:	Day One

### AUDITED FACILITY INFORMATION

14. Designated facility capacity:	1186
15. Average daily population for the past 12 months:	808
16. Number of inmate/resident/detainee housing units:	7
17. Does the facility ever hold youthful inmates or youthful/juvenile detainees?	<input type="radio"/> Yes <input checked="" type="radio"/> No <input type="radio"/> Not Applicable for the facility type audited (i.e., Community Confinement Facility or Juvenile Facility)

**Audited Facility Population Characteristics on Day One of the Onsite Portion of the Audit****Inmates/Residents/Detainees Population Characteristics on Day One of the Onsite Portion of the Audit**

<b>18. Enter the total number of inmates/residents/detainees in the facility as of the first day of onsite portion of the audit:</b>	811
<b>19. Enter the total number of inmates/residents/detainees with a physical disability in the facility as of the first day of the onsite portion of the audit:</b>	12
<b>20. Enter the total number of inmates/residents/detainees with a cognitive or functional disability (including intellectual disability, psychiatric disability, or speech disability) in the facility as of the first day of the onsite portion of the audit:</b>	5
<b>21. Enter the total number of inmates/residents/detainees who are Blind or have low vision (visually impaired) in the facility as of the first day of the onsite portion of the audit:</b>	6
<b>22. Enter the total number of inmates/residents/detainees who are Deaf or hard-of-hearing in the facility as of the first day of the onsite portion of the audit:</b>	33
<b>23. Enter the total number of inmates/residents/detainees who are Limited English Proficient (LEP) in the facility as of the first day of the onsite portion of the audit:</b>	54
<b>24. Enter the total number of inmates/residents/detainees who identify as lesbian, gay, or bisexual in the facility as of the first day of the onsite portion of the audit:</b>	3

<b>25. Enter the total number of inmates/residents/detainees who identify as transgender or intersex in the facility as of the first day of the onsite portion of the audit:</b>	5
<b>26. Enter the total number of inmates/residents/detainees who reported sexual abuse in the facility as of the first day of the onsite portion of the audit:</b>	4
<b>27. Enter the total number of inmates/residents/detainees who disclosed prior sexual victimization during risk screening in the facility as of the first day of the onsite portion of the audit:</b>	58
<b>28. Enter the total number of inmates/residents/detainees who were ever placed in segregated housing/isolation for risk of sexual victimization in the facility as of the first day of the onsite portion of the audit:</b>	0
<b>29. Provide any additional comments regarding the population characteristics of inmates/residents/detainees in the facility as of the first day of the onsite portion of the audit (e.g., groups not tracked, issues with identifying certain populations):</b>	No text provided.
<b>Staff, Volunteers, and Contractors Population Characteristics on Day One of the Onsite Portion of the Audit</b>	
<b>30. Enter the total number of STAFF, including both full- and part-time staff, employed by the facility as of the first day of the onsite portion of the audit:</b>	194
<b>31. Enter the total number of VOLUNTEERS assigned to the facility as of the first day of the onsite portion of the audit who have contact with inmates/residents/detainees:</b>	814

<b>32. Enter the total number of CONTRACTORS assigned to the facility as of the first day of the onsite portion of the audit who have contact with inmates/residents/detainees:</b>	814
<b>33. Provide any additional comments regarding the population characteristics of staff, volunteers, and contractors who were in the facility as of the first day of the onsite portion of the audit:</b>	Contractors and volunteers are approved to work in all RIDOC facilities.
<b>INTERVIEWS</b>	
<b>Inmate/Resident/Detainee Interviews</b>	
<b>Random Inmate/Resident/Detainee Interviews</b>	
<b>34. Enter the total number of RANDOM INMATES/RESIDENTS/DETAINEES who were interviewed:</b>	16
<b>35. Select which characteristics you considered when you selected RANDOM INMATE/RESIDENT/DETAINEE interviewees: (select all that apply)</b>	<div> <input checked="" type="checkbox"/> Age </div> <div> <input checked="" type="checkbox"/> Race </div> <div> <input checked="" type="checkbox"/> Ethnicity (e.g., Hispanic, Non-Hispanic) </div> <div> <input checked="" type="checkbox"/> Length of time in the facility </div> <div> <input checked="" type="checkbox"/> Housing assignment </div> <div> <input checked="" type="checkbox"/> Gender </div> <div> <input type="checkbox"/> Other </div> <div> <input type="checkbox"/> None </div>
<b>36. How did you ensure your sample of RANDOM INMATE/RESIDENT/DETAINEE interviewees was geographically diverse?</b>	The facility provided a detailed roster of inmates.
<b>37. Were you able to conduct the minimum number of random inmate/resident/detainee interviews?</b>	<div> <input checked="" type="radio"/> Yes </div> <div> <input type="radio"/> No </div>



<b>38. Provide any additional comments regarding selecting or interviewing random inmates/residents/detainees (e.g., any populations you oversampled, barriers to completing interviews, barriers to ensuring representation):</b>	No text provided.
<b>Targeted Inmate/Resident/Detainee Interviews</b>	
<b>39. Enter the total number of TARGETED INMATES/RESIDENTS/DETAINEES who were interviewed:</b>	14
<p>As stated in the PREA Auditor Handbook, the breakdown of targeted interviews is intended to guide auditors in interviewing the appropriate cross-section of inmates/residents/detainees who are the most vulnerable to sexual abuse and sexual harassment. When completing questions regarding targeted inmate/resident/detainee interviews below, remember that an interview with one inmate/resident/detainee may satisfy multiple targeted interview requirements. These questions are asking about the number of interviews conducted using the targeted inmate/resident/detainee protocols. For example, if an auditor interviews an inmate who has a physical disability, is being held in segregated housing due to risk of sexual victimization, and disclosed prior sexual victimization, that interview would be included in the totals for each of those questions. Therefore, in most cases, the sum of all the following responses to the targeted inmate/resident/detainee interview categories will exceed the total number of targeted inmates/residents/detainees who were interviewed. If a particular targeted population is not applicable in the audited facility, enter "0".</p>	
<b>40. Enter the total number of interviews conducted with inmates/residents/detainees with a physical disability using the "Disabled and Limited English Proficient Inmates" protocol:</b>	1
<b>41. Enter the total number of interviews conducted with inmates/residents/detainees with a cognitive or functional disability (including intellectual disability, psychiatric disability, or speech disability) using the "Disabled and Limited English Proficient Inmates" protocol:</b>	1
<b>42. Enter the total number of interviews conducted with inmates/residents/detainees who are Blind or have low vision (i.e., visually impaired) using the "Disabled and Limited English Proficient Inmates" protocol:</b>	1

<b>43. Enter the total number of interviews conducted with inmates/residents/detainees who are Deaf or hard-of-hearing using the "Disabled and Limited English Proficient Inmates" protocol:</b>	0
<b>43. Select why you were unable to conduct at least the minimum required number of targeted inmates/residents/detainees in this category:</b>	<input checked="" type="checkbox"/> Facility said there were "none here" during the onsite portion of the audit and/or the facility was unable to provide a list of these inmates/residents/detainees.  <input type="checkbox"/> The inmates/residents/detainees in this targeted category declined to be interviewed.
<b>43. Discuss your corroboration strategies to determine if this population exists in the audited facility (e.g., based on information obtained from the PAQ; documentation reviewed onsite; and discussions with staff and other inmates/residents/detainees).</b>	In review of detailed rosters and during communication with staff, it was determined that at the time of the audit there were no inmates who met this criterion.
<b>44. Enter the total number of interviews conducted with inmates/residents/detainees who are Limited English Proficient (LEP) using the "Disabled and Limited English Proficient Inmates" protocol:</b>	1
<b>45. Enter the total number of interviews conducted with inmates/residents/detainees who identify as lesbian, gay, or bisexual using the "Transgender and Intersex Inmates; Gay, Lesbian, and Bisexual Inmates" protocol:</b>	1
<b>46. Enter the total number of interviews conducted with inmates/residents/detainees who identify as transgender or intersex using the "Transgender and Intersex Inmates; Gay, Lesbian, and Bisexual Inmates" protocol:</b>	3

<b>47. Enter the total number of interviews conducted with inmates/residents/detainees who reported sexual abuse in this facility using the "Inmates who Reported a Sexual Abuse" protocol:</b>	3
<b>48. Enter the total number of interviews conducted with inmates/residents/detainees who disclosed prior sexual victimization during risk screening using the "Inmates who Disclosed Sexual Victimization during Risk Screening" protocol:</b>	3
<b>49. Enter the total number of interviews conducted with inmates/residents/detainees who are or were ever placed in segregated housing/isolation for risk of sexual victimization using the "Inmates Placed in Segregated Housing (for Risk of Sexual Victimization/Who Allege to have Suffered Sexual Abuse)" protocol:</b>	0
<b>49. Select why you were unable to conduct at least the minimum required number of targeted inmates/residents/detainees in this category:</b>	<div data-bbox="817 1131 1469 1288"> <input checked="" type="checkbox"/> Facility said there were "none here" during the onsite portion of the audit and/or the facility was unable to provide a list of these inmates/residents/detainees. </div> <div data-bbox="817 1339 1469 1417"> <input type="checkbox"/> The inmates/residents/detainees in this targeted category declined to be interviewed. </div>
<b>49. Discuss your corroboration strategies to determine if this population exists in the audited facility (e.g., based on information obtained from the PAQ; documentation reviewed onsite; and discussions with staff and other inmates/residents/detainees).</b>	In review of detailed rosters and during communication with staff, it was determined that at the time of the audit there were no inmates who met this criterion.
<b>50. Provide any additional comments regarding selecting or interviewing targeted inmates/residents/detainees (e.g., any populations you oversampled, barriers to completing interviews):</b>	No text provided.

## Staff, Volunteer, and Contractor Interviews

### Random Staff Interviews

**51. Enter the total number of RANDOM STAFF who were interviewed:**

12

**52. Select which characteristics you considered when you selected RANDOM STAFF interviewees: (select all that apply)**

- ☒ Length of tenure in the facility
- ☒ Shift assignment
- ☒ Work assignment
- ☒ Rank (or equivalent)
- ☒ Other (e.g., gender, race, ethnicity, languages spoken)
- ☐ None

**If "Other," describe:**

Gender

**53. Were you able to conduct the minimum number of RANDOM STAFF interviews?**

- ☒ Yes
- ☐ No

**54. Provide any additional comments regarding selecting or interviewing random staff (e.g., any populations you oversampled, barriers to completing interviews, barriers to ensuring representation):**

No text provided.

### Specialized Staff, Volunteers, and Contractor Interviews

Staff in some facilities may be responsible for more than one of the specialized staff duties. Therefore, more than one interview protocol may apply to an interview with a single staff member and that information would satisfy multiple specialized staff interview requirements.

**55. Enter the total number of staff in a SPECIALIZED STAFF role who were interviewed (excluding volunteers and contractors):**

21

<b>56. Were you able to interview the Agency Head?</b>	<input checked="" type="radio"/> Yes <input type="radio"/> No
<b>57. Were you able to interview the Warden/Facility Director/Superintendent or their designee?</b>	<input checked="" type="radio"/> Yes <input type="radio"/> No
<b>58. Were you able to interview the PREA Coordinator?</b>	<input checked="" type="radio"/> Yes <input type="radio"/> No
<b>59. Were you able to interview the PREA Compliance Manager?</b>	<input checked="" type="radio"/> Yes <input type="radio"/> No  <input type="radio"/> NA (NA if the agency is a single facility agency or is otherwise not required to have a PREA Compliance Manager per the Standards)

**60. Select which SPECIALIZED STAFF roles were interviewed as part of this audit from the list below: (select all that apply)**

- ☐ Agency contract administrator
- ☒ Intermediate or higher-level facility staff responsible for conducting and documenting unannounced rounds to identify and deter staff sexual abuse and sexual harassment
- ☐ Line staff who supervise youthful inmates (if applicable)
- ☐ Education and program staff who work with youthful inmates (if applicable)
- ☒ Medical staff
- ☒ Mental health staff
- ☐ Non-medical staff involved in cross-gender strip or visual searches
- ☒ Administrative (human resources) staff
- ☐ Sexual Assault Forensic Examiner (SAFE) or Sexual Assault Nurse Examiner (SANE) staff
- ☒ Investigative staff responsible for conducting administrative investigations
- ☐ Investigative staff responsible for conducting criminal investigations
- ☒ Staff who perform screening for risk of victimization and abusiveness
- ☐ Staff who supervise inmates in segregated housing/residents in isolation
- ☒ Staff on the sexual abuse incident review team
- ☒ Designated staff member charged with monitoring retaliation
- ☒ First responders, both security and non-security staff
- ☒ Intake staff

	<input type="checkbox"/> Other
<b>61. Did you interview VOLUNTEERS who may have contact with inmates/residents/detainees in this facility?</b>	<input checked="" type="radio"/> Yes <input type="radio"/> No
<b>61. Enter the total number of VOLUNTEERS who were interviewed:</b>	4
<b>61. Select which specialized VOLUNTEER role(s) were interviewed as part of this audit from the list below: (select all that apply)</b>	<input type="checkbox"/> Education/programming <input checked="" type="checkbox"/> Medical/dental <input checked="" type="checkbox"/> Mental health/counseling <input type="checkbox"/> Religious <input type="checkbox"/> Other
<b>62. Did you interview CONTRACTORS who may have contact with inmates/residents/detainees in this facility?</b>	<input checked="" type="radio"/> Yes <input type="radio"/> No
<b>62. Enter the total number of CONTRACTORS who were interviewed:</b>	5
<b>62. Select which specialized CONTRACTOR role(s) were interviewed as part of this audit from the list below: (select all that apply)</b>	<input type="checkbox"/> Security/detention <input type="checkbox"/> Education/programming <input checked="" type="checkbox"/> Medical/dental <input type="checkbox"/> Food service <input checked="" type="checkbox"/> Maintenance/construction <input type="checkbox"/> Other
<b>63. Provide any additional comments regarding selecting or interviewing specialized staff.</b>	No text provided.

## SITE REVIEW AND DOCUMENTATION SAMPLING

### Site Review

PREA Standard 115.401 (h) states, "The auditor shall have access to, and shall observe, all areas of the audited facilities." In order to meet the requirements in this Standard, the site review portion of the onsite audit must include a thorough examination of the entire facility. The site review is not a casual tour of the facility. It is an active, inquiring process that includes talking with staff and inmates to determine whether, and the extent to which, the audited facility's practices demonstrate compliance with the Standards. Note: As you are conducting the site review, you must document your tests of critical functions, important information gathered through observations, and any issues identified with facility practices. The information you collect through the site review is a crucial part of the evidence you will analyze as part of your compliance determinations and will be needed to complete your audit report, including the Post-Audit Reporting Information.

**64. Did you have access to all areas of the facility?**

☒ Yes

☐ No

**Was the site review an active, inquiring process that included the following:**

**65. Observations of all facility practices in accordance with the site review component of the audit instrument (e.g., signage, supervision practices, cross-gender viewing and searches)?**

☒ Yes

☐ No

**66. Tests of all critical functions in the facility in accordance with the site review component of the audit instrument (e.g., risk screening process, access to outside emotional support services, interpretation services)?**

☒ Yes

☐ No

**67. Informal conversations with inmates/residents/detainees during the site review (encouraged, not required)?**

☒ Yes

☐ No

**68. Informal conversations with staff during the site review (encouraged, not required)?**

☒ Yes

☐ No



<b>69. Provide any additional comments regarding the site review (e.g., access to areas in the facility, observations, tests of critical functions, or informal conversations).</b>	No text provided.
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### Documentation Sampling

Where there is a collection of records to review-such as staff, contractor, and volunteer training records; background check records; supervisory rounds logs; risk screening and intake processing records; inmate education records; medical files; and investigative files-auditors must self-select for review a representative sample of each type of record.

<b>70. In addition to the proof documentation selected by the agency or facility and provided to you, did you also conduct an auditor-selected sampling of documentation?</b>	<input checked="" type="radio"/> Yes  <input type="radio"/> No
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<b>71. Provide any additional comments regarding selecting additional documentation (e.g., any documentation you oversampled, barriers to selecting additional documentation, etc.).</b>	No text provided.
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## SEXUAL ABUSE AND SEXUAL HARASSMENT ALLEGATIONS AND INVESTIGATIONS IN THIS FACILITY

### Sexual Abuse and Sexual Harassment Allegations and Investigations Overview

Remember the number of allegations should be based on a review of all sources of allegations (e.g., hotline, third-party, grievances) and should not be based solely on the number of investigations conducted. Note: For question brevity, we use the term “inmate” in the following questions. Auditors should provide information on inmate, resident, or detainee sexual abuse allegations and investigations, as applicable to the facility type being audited.

**72. Total number of SEXUAL ABUSE allegations and investigations overview during the 12 months preceding the audit, by incident type:**

	# of sexual abuse allegations	# of criminal investigations	# of administrative investigations	# of allegations that had both criminal and administrative investigations
<b>Inmate-on-inmate sexual abuse</b>	4	0	4	0
<b>Staff-on-inmate sexual abuse</b>	6	0	6	0
<b>Total</b>	10	0	10	0

**73. Total number of SEXUAL HARASSMENT allegations and investigations overview during the 12 months preceding the audit, by incident type:**

	# of sexual harassment allegations	# of criminal investigations	# of administrative investigations	# of allegations that had both criminal and administrative investigations
<b>Inmate-on-inmate sexual harassment</b>	7	0	7	0
<b>Staff-on-inmate sexual harassment</b>	22	0	22	0
<b>Total</b>	29	0	29	0

## Sexual Abuse and Sexual Harassment Investigation Outcomes

### Sexual Abuse Investigation Outcomes

Note: these counts should reflect where the investigation is currently (i.e., if a criminal investigation was referred for prosecution and resulted in a conviction, that investigation outcome should only appear in the count for “convicted.”) Do not double count. Additionally, for question brevity, we use the term “inmate” in the following questions. Auditors should provide information on inmate, resident, and detainee sexual abuse investigation files, as applicable to the facility type being audited.

#### 74. Criminal SEXUAL ABUSE investigation outcomes during the 12 months preceding the audit:

	Ongoing	Referred for Prosecution	Indicted/ Court Case Filed	Convicted/ Adjudicated	Acquitted
Inmate-on-inmate sexual abuse	0	0	0	0	0
Staff-on-inmate sexual abuse	0	0	0	0	0
Total	0	0	0	0	0

#### 75. Administrative SEXUAL ABUSE investigation outcomes during the 12 months preceding the audit:

	Ongoing	Unfounded	Unsubstantiated	Substantiated
Inmate-on-inmate sexual abuse	0	3	0	1
Staff-on-inmate sexual abuse	0	3	3	0
Total	0	6	3	1

### Sexual Harassment Investigation Outcomes

Note: these counts should reflect where the investigation is currently. Do not double count. Additionally, for question brevity, we use the term “inmate” in the following questions. Auditors should provide information on inmate, resident, and detainee sexual harassment investigation files, as applicable to the facility type being audited.

**76. Criminal SEXUAL HARASSMENT investigation outcomes during the 12 months preceding the audit:**

	Ongoing	Referred for Prosecution	Indicted/ Court Case Filed	Convicted/ Adjudicated	Acquitted
<b>Inmate-on-inmate sexual harassment</b>	0	0	0	0	0
<b>Staff-on-inmate sexual harassment</b>	0	0	0	0	0
<b>Total</b>	0	0	0	0	0

**77. Administrative SEXUAL HARASSMENT investigation outcomes during the 12 months preceding the audit:**

	Ongoing	Unfounded	Unsubstantiated	Substantiated
<b>Inmate-on-inmate sexual harassment</b>	0	2	5	0
<b>Staff-on-inmate sexual harassment</b>	0	16	6	0
<b>Total</b>	0	18	11	0

**Sexual Abuse and Sexual Harassment Investigation Files Selected for Review**

**Sexual Abuse Investigation Files Selected for Review**

**78. Enter the total number of SEXUAL ABUSE investigation files reviewed/ sampled:**

3

<b>79. Did your selection of SEXUAL ABUSE investigation files include a cross-section of criminal and/or administrative investigations by findings/outcomes?</b>	<input checked="" type="radio"/> Yes <input type="radio"/> No <input type="radio"/> NA (NA if you were unable to review any sexual abuse investigation files)
<b>Inmate-on-inmate sexual abuse investigation files</b>	
<b>80. Enter the total number of INMATE-ON-INMATE SEXUAL ABUSE investigation files reviewed/sampled:</b>	2
<b>81. Did your sample of INMATE-ON-INMATE SEXUAL ABUSE investigation files include criminal investigations?</b>	<input checked="" type="radio"/> Yes <input type="radio"/> No <input type="radio"/> NA (NA if you were unable to review any inmate-on-inmate sexual abuse investigation files)
<b>82. Did your sample of INMATE-ON-INMATE SEXUAL ABUSE investigation files include administrative investigations?</b>	<input checked="" type="radio"/> Yes <input type="radio"/> No <input type="radio"/> NA (NA if you were unable to review any inmate-on-inmate sexual abuse investigation files)
<b>Staff-on-inmate sexual abuse investigation files</b>	
<b>83. Enter the total number of STAFF-ON-INMATE SEXUAL ABUSE investigation files reviewed/sampled:</b>	1
<b>84. Did your sample of STAFF-ON-INMATE SEXUAL ABUSE investigation files include criminal investigations?</b>	<input checked="" type="radio"/> Yes <input type="radio"/> No <input type="radio"/> NA (NA if you were unable to review any staff-on-inmate sexual abuse investigation files)

<b>85. Did your sample of STAFF-ON-INMATE SEXUAL ABUSE investigation files include administrative investigations?</b>	<input checked="" type="radio"/> Yes <input type="radio"/> No <input type="radio"/> NA (NA if you were unable to review any staff-on-inmate sexual abuse investigation files)
<b>Sexual Harassment Investigation Files Selected for Review</b>	
<b>86. Enter the total number of SEXUAL HARASSMENT investigation files reviewed/sampled:</b>	8
<b>87. Did your selection of SEXUAL HARASSMENT investigation files include a cross-section of criminal and/or administrative investigations by findings/outcomes?</b>	<input checked="" type="radio"/> Yes <input type="radio"/> No <input type="radio"/> NA (NA if you were unable to review any sexual harassment investigation files)
<b>Inmate-on-inmate sexual harassment investigation files</b>	
<b>88. Enter the total number of INMATE-ON-INMATE SEXUAL HARASSMENT investigation files reviewed/sampled:</b>	6
<b>89. Did your sample of INMATE-ON-INMATE SEXUAL HARASSMENT files include criminal investigations?</b>	<input checked="" type="radio"/> Yes <input type="radio"/> No <input type="radio"/> NA (NA if you were unable to review any inmate-on-inmate sexual harassment investigation files)
<b>90. Did your sample of INMATE-ON-INMATE SEXUAL HARASSMENT investigation files include administrative investigations?</b>	<input checked="" type="radio"/> Yes <input type="radio"/> No <input type="radio"/> NA (NA if you were unable to review any inmate-on-inmate sexual harassment investigation files)

**Staff-on-inmate sexual harassment investigation files**

**91. Enter the total number of STAFF-ON-INMATE SEXUAL HARASSMENT investigation files reviewed/sampled:**

2

**92. Did your sample of STAFF-ON-INMATE SEXUAL HARASSMENT investigation files include criminal investigations?**

☒ Yes

☐ No

☐ NA (NA if you were unable to review any staff-on-inmate sexual harassment investigation files)

**93. Did your sample of STAFF-ON-INMATE SEXUAL HARASSMENT investigation files include administrative investigations?**

☒ Yes

☐ No

☐ NA (NA if you were unable to review any staff-on-inmate sexual harassment investigation files)

**94. Provide any additional comments regarding selecting and reviewing sexual abuse and sexual harassment investigation files.**

No text provided.

**SUPPORT STAFF INFORMATION****DOJ-certified PREA Auditors Support Staff**

**95. Did you receive assistance from any DOJ-CERTIFIED PREA AUDITORS at any point during this audit? REMEMBER: the audit includes all activities from the pre-onsite through the post-onsite phases to the submission of the final report. Make sure you respond accordingly.**

☐ Yes

☒ No

## Non-certified Support Staff

**96. Did you receive assistance from any NON-CERTIFIED SUPPORT STAFF at any point during this audit? REMEMBER: the audit includes all activities from the pre-onsite through the post-onsite phases to the submission of the final report. Make sure you respond accordingly.**

☐ Yes

☒ No

## AUDITING ARRANGEMENTS AND COMPENSATION

**97. Who paid you to conduct this audit?**

☐ The audited facility or its parent agency

☐ My state/territory or county government employer (if you audit as part of a consortium or circular auditing arrangement, select this option)

☒ A third-party auditing entity (e.g., accreditation body, consulting firm)

☐ Other

**Identify the name of the third-party auditing entity**

Corrections Consulting Services LLC



Standards	
Auditor Overall Determination Definitions	
<ul style="list-style-type: none"> <li>Exceeds Standard (Substantially exceeds requirement of standard)</li> <li>Meets Standard (substantial compliance; complies in all material ways with the stand for the relevant review period)</li> <li>Does Not Meet Standard (requires corrective actions)</li> </ul>	
Auditor Discussion Instructions	
<p>Auditor discussion, including the evidence relied upon in making the compliance or non-compliance determination, the auditor's analysis and reasoning, and the auditor's conclusions. This discussion must also include corrective action recommendations where the facility does not meet standard. These recommendations must be included in the Final Report, accompanied by information on specific corrective actions taken by the facility.</p>	

115.11	Zero tolerance of sexual abuse and sexual harassment; PREA coordinator
	<b>Auditor Overall Determination:</b> Meets Standard
	<b>Auditor Discussion</b>
	<p>1</p> <p>115-11 Documents reviewed for compliance:</p> <p>The organizational chart shows the PREA coordinator reporting to the Assistant Director of Institutions and Operations (ADIO) up to the Director.</p> <p>18 training certificates that the PREA coordinator completed through the National Institute of Correction.</p> <p>9.49-5 DOC, PREA Policy</p> <p>Training curriculum for the compliance managers, training that was developed by the PREA coordinator.</p> <p>Interviews with PREA Coordinator (PC) and the PREA Compliance Manager (PCM)</p> <p>115.11 Zero Tolerance of Sexual Abuse and Sexual Harassment; PREA Coordinator</p>

	<p>A) The facility has established and implemented a zero-tolerance policy (9.49-5 DOC, PREA Policy) for all forms of sexual abuse and sexual harassment. The policy clearly defines sexual abuse and sexual harassment, outlines the facility's approach to the prevention, detection, and response to such incidents, ensures every allegation is thoroughly investigated and supports proportional disciplinary actions, up to and including criminal prosecution, when warranted by evidence.</p> <p>B) At the agency level, the Assistant Director of Institutions and Operations (ADIO) has assigned a PC who holds a high-level position and works across all facilities to ensure consistent and effective PREA compliance statewide. During an interview, the PC has enough time to implement all PREA-related duties.</p> <p>C) The facility has designated a PCM who reports directly to the Warden and is given sufficient time and authority to oversee PREA compliance efforts at the facility level. During an interview, the PCM has enough time to implement all PREA-related duties.</p> <p>The facility is compliant with this standard.</p>
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<b>115.12</b>	<b>Contracting with other entities for the confinement of inmates</b>
	<b>Auditor Overall Determination:</b> Meets Standard
	<b>Auditor Discussion</b>
	<p>Documents reviewed:</p> <p>9.49-5 DOC, PREA Policy</p> <p>PREA standards</p> <p>Pre audit questionnaire (PAQ)</p> <p>115.12 Contracting with other entities for the confinement of inmates.</p> <p>A) B) The State does not contract for the housing of inmates; therefore, this standard is not applicable and compliant with the standard.</p>

<b>115.13</b>	<b>Supervision and monitoring</b>
	<b>Auditor Overall Determination:</b> Meets Standard
	<b>Auditor Discussion</b>
	<p>115.13 Documents reviewed:</p> <p>9.49-5 PREA policy.</p>

Staffing plan.

Staffing plan reviews from 2017 through 2024.

9.05-3 Institutional logbook system policy.

Staffing rosters.

Training curriculum on unannounced rounds.

11 Unannounced rounds logs.

Security Staffing coverage on all shifts.

Population statistics.

Post assignments.

Pre audit questionnaire (PAQ)

Site review observation.

Interviews with the warden, PREA coordinator (PC) and the PREA compliance manager (PCM)

115.13 Supervision and monitoring.

A) The facility Warden has developed a comprehensive staffing plan designed to provide adequate staffing levels and effective video monitoring to protect inmates from sexual abuse. In determining the appropriate levels of supervision, the plan takes into account: (1) Generally accepted detention and correctional practices; (2) Any judicial findings of inadequacy; (3) Any findings of inadequacy from Federal investigative agencies; (4) Any findings of inadequacy from internal or external oversight bodies; (5) All components of the facility's physical plant (including "blind-spots" or areas where staff or inmates may be isolated); (6) The composition of the inmate population; (7) The number and placement of supervisory staff; (8) Institution programs occurring on a particular shift; (9) Any applicable State or local laws, regulations, or standards; (10) The prevalence of substantiated and unsubstantiated incidents of sexual abuse; and (11) Any other relevant factors. During the site review, interviews with the warden and PCM, and informal conversations with staff and inmates, the auditor compared the written staffing plan against observations and determine the staffing plan adequately assesses the staffing and/or electronic monitoring needs of the facility with sexual safety in mind, and, the facility is staffed according to the plan, as it is written.

B) In accordance with the PREA policy, the facility is required to document and justify any deviations from the staffing plan. According to the PAQ and an interview with the warden, no deviations from the approved staffing plan have occurred during the audit review period. During the site review, the auditor did not observe any deviations to the staffing plan.

	<p>C) The agency mandates that each facility reviews and updates its staffing plan annually to ensure it remains responsive to facility needs and PREA compliance. According to the PC, annual staffing plan reviews are conducted by the PC with the Warden, the PCM, and the facility Security Specialist and deviations, if any, are to be logged and justified in staffing logs.</p> <p>D) The facility has implemented a policy and practice of unannounced rounds by intermediate- and higher-level supervisors to detect and deter staff sexual abuse and harassment. This includes both day and night shifts. Intermediate- and higher-level supervisors interviewed stated that unannounced rounds are documented in facility logbooks and on PREA Unannounced Round Log forms, and staff are prohibited from alerting others about these rounds unless necessary for legitimate operational purposes. Video was spot checked to ensure unannounced rounds occur on all shifts.</p> <p>The facility is compliant with this standard.</p>
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<b>115.14</b>	<b>Youthful inmates</b>
	<b>Auditor Overall Determination:</b> Meets Standard
	<b>Auditor Discussion</b>
	<p>Documents reviewed:</p> <p>Pre audit questionnaire (PAQ)</p> <p>Site review observation</p> <p>115.14 Youthful Inmates</p> <p>A) B) C) According to the information in the PAQ and observations made during the site review; the facility does not hold youthful inmates; therefore, this standard is not applicable and complaint.</p>

<b>115.15</b>	<b>Limits to cross-gender viewing and searches</b>
	<b>Auditor Overall Determination:</b> Meets Standard
	<b>Auditor Discussion</b>
	<p>115.15 Limits to cross-gender viewing and searches.</p> <p>A) The facility prohibits cross-gender strip searches, pat-down searches, and visual body cavity searches except in exigent circumstances or when performed by qualified medical practitioners. All exigent cross-gender strip or visual body cavity searches must be immediately reported to the Warden or designee and the PREA Compliance</p>

	<p>Manager (PCM). All cross-gender searches will be documented. According to documentation submitted in the PAQ, no cross-gender searches have occurred during the audit review period. During the site review and informal conversations with staff and inmates, the auditor observed areas used to conduct strip searches and pat searches. Non-medical staff are unable to view these areas. These posts are same sex posts.</p> <p>B) Not applicable – the facility does not house female inmates. This was confirmed during the site review and interviews with random inmates and staff.</p> <p>C) The PAQ confirms the facility did not conduct any cross-gender searches.</p> <p>D) The facility has implemented policies and procedures to ensure that inmates can shower, perform bodily functions, and change clothing without being viewed by nonmedical staff of the opposite gender, except in exigent circumstances or when such viewing is incidental to routine cell checks. If a female non-security staff enters one of these designated areas, the Correctional Officer supervising that unit will be responsible for announcing there is a female on the mod. The announcement, made in a clear and distinct voice, will be "Female on the mod". Policy requires opposite-gender staff to announce their presence when entering inmate housing units. The auditor confirmed compliance through a review of unannounced security check documentation, interviews with inmates and staff, and during the site review. Shower shorts are mandatory when showering.</p> <p>E) The facility prohibits searches or physical examinations of transgender or intersex inmates solely to determine genital status. Genital status is determined through conversations with the inmate, review of medical records, or If necessary, by a private medical examination conducted by a medical practitioner, as confirmed through interviews with random staff.</p> <p>F) The facility has trained all security staff on how to conduct cross-gender pat-down searches and searches of transgender and intersex inmates. Training is conducted in a professional, respectful, and least intrusive manner, consistent with security needs. Interviews with random staff and a review of training records confirm the facility meets this provision.</p> <p>The facility is compliant with this standard.</p>
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<b>115.16</b>	<b>Inmates with disabilities and inmates who are limited English proficient</b>
	<b>Auditor Overall Determination:</b> Meets Standard
	<b>Auditor Discussion</b>

115.16 Documents reviewed:

9.49-5 PREA policy.

LEP interpreter list.

Dorcas international language list over the phone interpreter services with instructions.

Training curriculum for LEP for staff.

"Speak up Speak out Get Help" Poster for reporting PREA incidents and the hotline numbers (Special investigative unit, Inspectors office, Rhode Island State Police, The Help Line, and ICE).

PREA brochure in English, Spanish, Portuguese and Hmong, and braille.

Inmate and visitor communication policy.

Site review observations.

Interviews with the Assistant Director of Institutions and Operations (ADIO), four inmates with a disability or who are limited English proficient and 12 random staff.

115.16 Inmates with Disabilities and Inmates Who Are Limited English Proficient

A) The facility has established policies, procedures, and contractual agreements to ensure that inmates with disabilities are provided equal access to all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment. This includes but is not limited to access to educational materials, reporting methods, and protective measures. Resources such as interpretive services, assistive devices, and accessible formats are utilized where appropriate, as evidenced by posted materials, contractual documents and interviews with the ADIO and an inmate who was LEP. The auditor was able to access the facilities interpretation services.

B) The department has implemented policies that ensure meaningful access for LEP inmates to all programs, services, and activities. These policies include procedures that prevent language barriers from impeding effective communication between staff and LEP individuals. The goal is to ensure safe operations and full understanding of rules, rights, and protections under PREA. LEP inmates interviewed stated that he has access to the facility interpreters.

C) The Rhode Island Department of Corrections (RIDOC) has contracted with professional language interpreter services and provides translated materials to ensure accurate and accessible communication. Inmate interpreters are not used, ensuring confidentiality and accuracy in sensitive communications, especially those related to allegations of sexual abuse and harassment. Interviews with random staff and an inmate with a LEP confirm that materials are translated into the language spoken by the inmate.

	After reviewing the facility's policies, brochures, posted materials, and supporting documentation, the auditor finds that the facility meets all elements of this standard.
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115.17	Hiring and promotion decisions
	<b>Auditor Overall Determination:</b> Meets Standard
	<b>Auditor Discussion</b>
	<p>115.17 Documents reviewed:</p> <p>The department policy</p> <p>Supplemental Questionnaires for current staff and applicants</p> <p>The code of ethics policy, procedures for contractors</p> <p>Hiring manual and background investigations policy</p> <p>18 Supplemental Questionnaires</p> <p>15 extensive background checks</p> <p>Background checks for contractors and volunteers.</p> <p>Interview with HR staff</p> <p>115.17 Hiring and Promotion Decisions.</p> <p>A) In accordance with agency policy and verified through background investigations, the Department prohibits the hiring or promotion of any individual who may have contact with inmates if that individual has: (1) Engaged in sexual abuse in a prison, jail, lockup, community confinement facility, juvenile facility, or other institutional setting. (2) Been convicted of engaging or attempting to engage in sexual activity in the community facilitated by force, coercion, or where the victim was unable to consent or refuse. (3) Been civilly or administratively adjudicated for such conduct.</p> <p>B) Prior to hiring new employees who may have contact with inmates, the agency conducts National Criminal Information Center (NCIC) and BCI fingerprint-based criminal background checks. Other relevant checks can be made in accordance with federal, state, and local law. The auditor reviewed background checks and hiring procedures to ensure compliance.</p> <p>C) The agency makes best efforts to contact prior institutional employers to determine whether an applicant was the subject of any substantiated allegation of sexual abuse or resigned during a pending investigation for such conduct.</p>

	<p>D) The same disqualification criteria applied to staff are enforced for contractors and volunteers who may have contact with inmates. The Department does not retain individuals who meet any disqualifying criteria related to prior sexual abuse or misconduct.</p> <p>E) The Department performs criminal background records checks every five years for all current employees and contractors who may have contact with inmates, as verified through employee file reviews.</p> <p>F) The employment application requires all prospective employees and promotional candidates to disclose: (1) Any previous misconduct related to sexual abuse or harassment. (2) Any resignation during an investigation. (3) Any material omission or provision of false or misleading information, which is grounds for termination. All staff have an affirmative, ongoing duty to disclose any misconduct or new relevant information.</p> <p>G) The agency provides relevant information about substantiated allegations of sexual abuse or harassment involving a former employee to potential future institutional employers upon request.</p> <p>The facility is compliant with this standard.</p>
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115.18	Upgrades to facilities and technologies
	<p><b>Auditor Overall Determination:</b> Meets Standard</p>
	<p><b>Auditor Discussion</b></p>
	<p>Documents reviewed:</p> <p>Pre audit questionnaire (PAQ)</p> <p>Interviews with the Assistant Director of Institutions and Operations (ADIO) and the Warden.</p> <p>115.18 Upgrades to Facilities and Technologies</p> <p>A) When designing or acquiring a new facility or planning any substantial expansion or modification to an existing facility, the Department formally considers how the design or construction will affect the ability to protect inmates from sexual abuse. This includes evaluating visibility, blind spots, and opportunities for enhanced supervision. Interviews with the ADIO and warden and information in the PAQ state that the facility has not made any upgrades to the facility.</p> <p>B) When installing or updating video monitoring or other surveillance technology, the agency assessed how the technology can enhance its capacity to prevent and</p>



	<p>respond to sexual abuse.</p> <p>The facility is compliant with this standard.</p>
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<b>115.21</b>	<b>Evidence protocol and forensic medical examinations</b>
	<p><b>Auditor Overall Determination:</b> Meets Standard</p>
	<p><b>Auditor Discussion</b></p>
	<p>115.21 Documents reviewed:</p> <p>MOU with the Rhode Island State Police to provide reporting and investigative services.</p> <p>Procedure for Protecting, Gathering and Preserving Evidence Policy</p> <p>PREA Policy</p> <p>Office of Inspection Policy</p> <p>SOP PREA Abuse Investigations</p> <p>Special Investigations Unit Policy</p> <p>RISP Collection, Preservation and Analysis of Evidence</p> <p>RISP General Order 77A Criminal Investigations</p> <p>RISP General Order 77B Major Crimes Investigation</p> <p>Contract for PREA Emotional Support Services with The Counseling &amp; Psychotherapy Center, Inc.</p> <p>List of eligible inmates for PREA Emotional Support Services</p> <p>Pre audit questionnaire (PAQ)</p> <p>Interviews with three agency investigators (administrative and criminal) and 12 random staff.</p> <p>115.21 Evidence Protocol and Forensic Medical Examinations</p> <p>A) The agency follows a uniform evidence protocol designed to maximize the potential for obtaining usable physical evidence to support both administrative proceedings and criminal prosecutions. Investigators are trained and instructed to adhere to this standardized protocol in every applicable case.</p> <p>B) The evidence collection protocol is developmentally appropriate for youth, where applicable, and is adapted from or based on the most recent edition of the U.S.</p>

	<p>Department of Justice’s Office on Violence Against Women publication, “A National Protocol for Sexual Assault Medical Forensic Examinations, Adults/Adolescents” or another similarly authoritative protocol issued post-2011.</p> <p>C) All victims of sexual abuse are offered access to forensic medical examinations free of charge, conducted at an outside medical facility. These exams are performed by Sexual Assault Forensic Examiners (SAFEs) or Sexual Assault Nurse Examiners (SANEs) whenever possible. If SAFEs or SANEs are not available, the examinations are conducted by qualified medical practitioners. The agency documents its efforts to secure services from SAFEs or SANEs. In the past 12 months no forensic medical exams have been conducted.</p> <p>D) The facility attempts to make available a victim advocate from a rape crisis center to accompany and support victims throughout the process. If a rape crisis center is unavailable, a qualified agency staff member will serve in this role. The facility has a contract for PREA Emotional Support Services with The Counseling &amp; Psychotherapy Center, Inc.</p> <p>E) Victim advocates accompany victims as requested during the forensic examination at the outside hospital. If requested, contractors from The Counseling &amp; Psychotherapy Center, Inc. accompany victims during investigatory interviews, offering emotional support, crisis intervention, information, and referrals.</p> <p>F) To the extent the agency itself is not responsible for investigating allegations of sexual abuse, the agency shall request that the investigating agency follow the requirements of paragraphs (a) through (e) of this section.</p> <p>G) The requirements of paragraphs (a) through (f) of this section shall also apply to any State entity outside of the agency that is responsible for investigating allegations of sexual abuse in prisons or jails and any Department of Justice component that is responsible for investigating allegations of sexual abuse in prisons or jails.</p> <p>H) Qualified agency staff or community-based staff members serving as victim advocates are screened for appropriateness and receive training concerning sexual assault and forensic examination protocols.</p> <p>The facility is compliant with this standard.</p>
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<b>115.22</b>	<b>Policies to ensure referrals of allegations for investigations</b>
	<b>Auditor Overall Determination:</b> Meets Standard
	<b>Auditor Discussion</b>
	<p>115.22 Documents reviewed:</p> <p>MOU with the Rhode Island State Police to provide reporting and investigative services.</p>

PREA Policy.

Office of Inspection Policy.

SOP PREA Sexual Abuse Investigations.

Special Investigations Unit Policy.

12/20/16 PC Memo re: administrative investigations

Pre audit questionnaire (PAQ).

Interviews with the Assistant Director of Institutions and Operations (ADIO) and three investigators.

RISP Collection, Preservation and Analysis of Evidence

RISP General Order 77A Criminal Investigations

RISP General Order 77B Major Crimes investigations

Website review.

Reviewed 11 full sexual abuse investigations.

115.22 Policies to Ensure Referrals of Allegations for Investigations.

A) The Department enforces a zero-tolerance policy for all forms of sexual abuse and sexual harassment. This includes a firm commitment to investigating every allegation, whether reported directly by the victim, by a third party, or made anonymously. All allegations receive an administrative investigation conducted by either the RIDOC Office of Inspections or the RIDOC Special Investigations Unit. Allegations of potential criminal nature are referred to the Rhode Island State Police for criminal investigation. Investigators and facility supervisors follow a uniform evidence protocol that prioritizes the collection of usable evidence to support appropriate disciplinary or criminal action.

B) The Office of Inspection (OI), also known as the Internal Affairs Unit, is responsible for conducting prompt, thorough, and objective investigations into staff misconduct. This includes sexual abuse and harassment complaints involving staff. The Office operates under the direct authority of the Director and includes a Chief Inspector, inspectors, and support staff. The Special Investigations Unit investigates all inmate/inmate allegations of sexual abuse and sexual harassment. SUI operates under the direct authority of the Chief Inspector, and includes a Deputy Chief Inspector, investigator supervisors, investigators, and support staff. For matters that may involve criminal conduct by staff or inmates, RIDOC coordinates with the Rhode Island State Police (RISP). If RISP initiates a criminal investigation, RIDOC may delay its administrative investigation until the criminal process has concluded. This collaborative approach ensures investigations are handled by the appropriate agency and aligned with legal procedures.

	<p>C) When separate entities, such as the RISP, are responsible for conducting criminal investigations, their roles and responsibilities—along with those of RIDOC—are clearly described in internal policies and procedural publications published on the agency website. These ensure clarity and cooperation between investigative bodies.</p> <p>D) All state entities involved in administrative or criminal investigations of sexual abuse or sexual harassment in correctional settings must maintain formal policies that govern the conduct of such investigations. RIDOC's internal procedures reflect this requirement, ensuring consistency and accountability.</p> <p>E) Any Department of Justice component that might be involved in such investigations is also required to maintain such policies. However, for RIDOC, this is not applicable, as investigations are conducted by the Office of Inspection (OI), the Special Investigations Unit (SIU) and Rhode Island State Police (RISP) when necessary. A memo dated December 20, 2016 from the PREA Coordinator outlines a comprehensive referral and documentation process for all PREA sexual abuse allegations.</p> <p>According to the memo: OI completes administrative investigations for each allegation and issues a written finding on whether staff action or inaction contributed to the abuse.</p> <p>For inmate-on-inmate abuse investigated by the Special Investigations Unit (SIU), OI conducts a follow-up administrative investigation. For staff-on-inmate abuse, OI conducts the full investigation and documents the findings and rationale in writing.</p> <p>These findings are maintained in the appropriate investigative files by either SIU or OI.</p> <p>There were 39 allegations of sexual abuse or harassment, the statistics show: 2 were not PREA, 1 Substantiated, 22 unfounded, and 12 unsubstantiated. The auditor reviewed 8 sexual harassment investigation reports and 3 sexual abuse investigation reports.</p> <p>The facility is compliant with this standard.</p>
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<b>115.31</b>	<b>Employee training</b>
	<b>Auditor Overall Determination:</b> Meets Standard
	<b>Auditor Discussion</b>
	<p>115.31 Documents reviewed:</p> <p>The PREA policy</p>

Training curriculum

Staff PREA training acknowledgments

Basic training program

5 PREA refresher training sheets

Zero tolerance policy training acknowledgment form

Policy on access to facilities

PREA training quiz and a sample of completed PREA refresher training completions.

Interviews with random staff

115.31 Employee training.

A) A comprehensive training program is administered to all staff members, contractors, volunteers, interns, and inmates in order to emphasize the importance of preventing inmate sexual abuse and sexual harassment, and to promote awareness of the serious impact of sexual victimization within the correctional setting. All RIDOC employees shall receive training which includes, but is not limited to, the following: Notification of RIDOC's zero tolerance mandate for inmate sexual abuse and sexual harassment; how to fulfill their responsibilities regarding the prevention, detection, reporting and response to allegations of inmate sexual abuse and sexual harassment; inmates' right to be free from sexual abuse and sexual harassment; right of inmates and employees to be free from retaliation for reporting sexual abuse and sexual harassment; Dynamics of (1) Its zero-tolerance policy for sexual abuse and sexual harassment; (2) How to fulfill their responsibilities under agency sexual abuse and sexual harassment prevention, detection, reporting, and response policies and procedures; (3) Inmates' rights to be free from sexual abuse and sexual harassment; (4) The right of inmates and employees to be free from retaliation for reporting sexual abuse and sexual harassment; (5) The dynamics of sexual abuse and sexual harassment in confinement; (6) The common reactions of sexual abuse and sexual harassment victims; (7) How to detect and respond to signs of threatened and actual sexual abuse; (8) How to avoid inappropriate relationships with inmates; (9) How to communicate effectively and professionally with inmates, including lesbian, gay, bisexual, transgender, intersex, or gender nonconforming inmates; and (10) How to comply with relevant laws related to mandatory reporting of sexual abuse to outside authorities.

B) All training is tailored to prepare staff to know and understand the requirements for working in a cross-gender facility (i.e., male staff working in a facility that houses only female inmates or female staff working in a facility that houses only male inmates). Training records were reviewed.

C) RIDOC provides each employee with refresher training every two (2) years to ensure that all employees know the agency's current sexual abuse and sexual harassment policies and procedures. In years in which an employee does not receive

	<p>refresher training, RIDOC shall provide refresher information on the agency's current sexual abuse and sexual harassment policies. PREA refresher handouts and signed acknowledgment forms were reviewed.</p> <p>D) The RIDOC Training Academy maintains a record of all individuals (i.e., employees, volunteers, contractors, and interns) who receive, as applicable, standard RIDOC PREA training, PREA refresher training, specialized PREA training. Training records were reviewed.</p> <p>The facility is compliant with this standard.</p>
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115.32	Volunteer and contractor training
	<p><b>Auditor Overall Determination:</b> Meets Standard</p>
	<p><b>Auditor Discussion</b></p>
	<p>115.32 Documents reviewed:</p> <p>The Zero tolerance training and acknowledgment form</p> <p>Policy on access to facilities</p> <p>PREA training quiz</p> <p>Volunteer and Contractor Training Records</p> <p>PREA volunteer and contractor training curriculum.</p> <p>Interviews with four volunteers and five contractors.</p> <p>115.32 Volunteer and contractor training.</p> <p>A) All RIDOC volunteers, contractors, and interns who have contact with inmates shall be trained on their responsibilities relating to RIDOC's sexual abuse and sexual harassment prevention, detection and response policies and procedures. Training records were reviewed. This was also confirmed through interviews with volunteers and contractors.</p> <p>B) This training shall include but not be limited to notification of RIDOC's zero tolerance mandate regarding sexual abuse and sexual harassment of inmates. the consequences of such actions; and how to report such incidents.</p> <p>C) The RIDOC Training Academy shall maintain a record of all individuals (i.e., employees, volunteers, contractors, and interns) who receive, as applicable, standard RIDOC PREA training, PREA refresher training, specialized PREA training. Training</p>

	<p>records were reviewed.</p> <p>The facility is compliant with this standard.</p>
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<b>115.33</b>	<b>Inmate education</b>
	<p><b>Auditor Overall Determination:</b> Exceeds Standard</p>
	<p><b>Auditor Discussion</b></p>
	<p>115.33 Documents reviewed:</p> <p>The PREA policy</p> <p>Day One at a Glance program and service guide that explains the zero-tolerance policy</p> <p>RIDOC PREA Brochure</p> <p>Curriculum for the inmate intake and comprehensive training</p> <p>PREA Training acknowledgment forms for 60 intake and 50 comprehensive training attendance</p> <p>Pictures of the placards placed on the inmate phones with the numbers on how to report sexual assault.</p> <p>Site review observations</p> <p>Pre audit questionnaire (PAQ)</p> <p>Interviews with 16 random inmates and intake staff</p> <p>PREA Standard 115.33 – Inmate Education</p> <p>A) During the intake and commitment process to each facility, inmates receive information explaining the RIDOC’s zero tolerance policy regarding sexual abuse and sexual harassment, and how to report such incidents or suspicions. The auditor observed the sexual safety information (PREA information/zero-tolerance information) provided at the point of intake or transfer. Staff were able to walk through the process and do a mock intake for demonstration purposes. Interpretation services were readily available in intake.</p> <p>B) Within thirty (30) days of intake to a facility, the facility provides comprehensive education to inmates either in person or through video regarding their rights to be free from sexual abuse and sexual harassment and to be free from retaliation for reporting such incidents, and regarding agency policies and procedures for responding to such incidents. Staff walked the auditor through the process and conducted a mock education session for demonstration purposes.</p>

	<p>C) Inmates who had not received this education are required to be trained within one year of the PREA standards taking effect. Additionally, inmates who transfer to a different facility will receive supplemental education when the policies of the new facility differ from those of the previous location. At RIDOC, inmates receive the same PREA intake information and the same comprehensive PREA education upon commitment to each facility.</p> <p>D) The facility provides inmate education in formats accessible to all inmates, including those with limited English proficiency, who are deaf, visually impaired, have limited reading skills or are otherwise disabled. Inmate education materials were reviewed.</p> <p>E) The Facility makes sure that inmate receipt of PREA intake information and comprehensive PREA education is documented. PREA intake information was reviewed.</p> <p>F) As evidenced by the placards on the inmate phones and posters posted throughout the facility ensures that key information, including, but not limited to, reporting phone numbers, is continuously and readily available or visible to inmates through posters, inmate rulebooks or other written formats, exceeding this standard. During the site review, the auditor observed posted or printed signage throughout the facility. Signage includes audit notices, how to report sexual abuse and sexual harassment, access to outside victim emotional support services, and other relevant PREA information. The auditor reviewed the information provided on signage and determined it is readable and accessible, consistent, and placed throughout the facility to convey vital sexual safety information specific to the facility.</p> <p>The facility exceeds compliance with this standard.</p>
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<b>115.34</b>	<b>Specialized training: Investigations</b>
	<b>Auditor Overall Determination:</b> Meets Standard
	<b>Auditor Discussion</b>
	<p>115.34 Documents reviewed:</p> <p>Training certificates for investigators who completed specialized training through the PREA Resource Center or NIC</p> <p>Job description for Inspector</p> <p>Policy on Office of the Inspection</p> <p>SOP on sexual abuse investigations</p> <p>IA and SIU staff lists</p>



	<p>Pre audit questionnaire (PAQ)</p> <p>Interviews with three investigators</p> <p>115.34 Specialized training: Investigations.</p> <p>A) All staff in RIDOC's SIU and OI received specialized training in conducting sexual abuse and sexual harassment investigations in a prison setting in addition to the standard RIDOC employee PREA training.</p> <p>B) This training includes but is not limited to techniques for interviewing sexual abuse victims, proper use of Miranda and Garrity warnings, sexual abuse evidence collection in confinement settings, and the criteria and evidence required to substantiate a case for administrative action or prosecution referral.</p> <p>C) The agency maintains documentation that agency investigators have completed the required specialized training in conducting sexual abuse investigations, as evidenced by a review of training certificates.</p> <p>D) Any State entity or Department of Justice component that investigates sexual abuse in confinement settings shall provide such training to its agents and investigators who conduct such investigations.</p> <p>The facility is compliant with this standard.</p>
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<b>115.35</b>	<b>Specialized training: Medical and mental health care</b>
	<p><b>Auditor Overall Determination:</b> Meets Standard</p>
	<p><b>Auditor Discussion</b></p>
	<p>115.35 Documents reviewed:</p> <p>The PREA policy</p> <p>Medical and mental health training certificates for completion of the (sexual assault victims in a confinement setting)</p> <p>Behavioral health care for victims of sexual assault in a confinement setting that was created by the National Institution of Correction.</p> <p>Interviews with medical and mental health staff</p> <p>115.35 Specialized training: Medical and mental health care.</p> <p>A) All full-time and part-time medical and mental health practitioners who regularly work in facilities receive specialized training related to sexual abuse and sexual harassment in addition to the standard RIDOC PREA training, for employees or for contractors, volunteers and interns depending upon the practitioner's status. Training</p>

	<p>records were reviewed. This training includes but not limited to how to detect and assess signs of sexual abuse and sexual harassment, how to preserve physical evidence of sexual abuse, how to respond effectively and professionally to victims of sexual abuse and sexual harassment, and how and to whom to report allegations or suspicions of sexual abuse and sexual harassment.</p> <p>B) The medical staff employed by the agency do not conduct forensic examinations. Inmates are sent out to community hospitals for these exams.</p> <p>C) The RIDOC Training Academy maintains a record of all individuals (i.e., employees, volunteers, contractors, and interns) who receive, as applicable, standard RIDOC PREA training, PREA refresher training, and specialized PREA training.</p> <p>D) Medical and mental health care practitioners also receive the training mandated for employees under PREA. Training records were reviewed.</p> <p>The facility is compliant with this standard.</p>
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<b>115.41</b>	<b>Screening for risk of victimization and abusiveness</b>
	<b>Auditor Overall Determination:</b> Meets Standard
	<b>Auditor Discussion</b>
	<p>115.41 Documents reviewed:</p> <p>Pre audit questionnaire (PAQ)</p> <p>Receiving Screening and Mental Health Evaluation of New Commitments Policy</p> <p>Inmate Housing Assignments Policy</p> <p>Intake-Committing Process Policy</p> <p>PREA color codes and intake committing process</p> <p>60 screenings and 30-day reviews.</p> <p>Site review observations (risk screening and records storage)</p> <p>Interviews with staff responsible for risk screening, 16 random inmates, PREA Coordinator (PC) and the PREA Compliance Manager (PCM)</p> <p>115.41 Screening for Risk of Victimization and Abusiveness</p> <p>A) Upon commitment at ISC and WF, Records &amp; ID staff conduct PREA Risk Screens on all inmates. These screenings are documented in INFACETS (computerized inmate management system). The auditor asked a staff to walk through the process and do a mock intake for demonstration purposes.</p>

	<p>B) The intake screening process is designed to occur within 72 hours of an inmate's arrival at the facility, in compliance with the standard's time frame. Records of inmates admitted to the facility within the past 12 months were reviewed for evidence of appropriate screening within 72 hours.</p> <p>C) The assessments utilize an objective screening instrument, ensuring consistency and impartiality in evaluating risk.</p> <p>D) The intake screening tool evaluates a comprehensive list of risk factors to assess vulnerability to sexual victimization, including presence of mental, physical, or developmental disabilities; age of the inmate; physical build of the inmate; prior incarceration history, nonviolent criminal history, prior sex offense convictions against adults or children, whether the inmate is or is perceived to be LGBTQI+ or gender nonconforming, history of sexual victimization, the inmate's own perception of vulnerability, and whether the inmate is detained solely for civil immigration purposes.</p> <p>E) The screening also includes a review of prior acts of sexual abuse, convictions for violent offenses, and history of institutional violence, or perpetration of sexual abuse.</p> <p>F) The facility ensures that risk levels are reassessed within 30 days of arrival and whenever new information arises. Reassessments are triggered by referral, inmate request, incident of sexual abuse, or receipt of new relevant information. Reassessments were reviewed.</p> <p>G) Reassessments are also conducted outside the 30-day period when warranted, following the same triggering criteria noted above.</p> <p>H) Inmates are not disciplined for refusing to answer any of the screening questions. This protects inmates' rights and encourages honest disclosure.</p> <p>I) The agency has implemented appropriate safeguards to ensure that sensitive information obtained through the screening is confidential and not used to the detriment of the inmate by staff or others. Record storage was observed to be secured, password protected, with limited access.</p> <p>The facility is compliant with this standard.</p>
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<b>115.42</b>	<b>Use of screening information</b>
	<b>Auditor Overall Determination:</b> Exceeds Standard
	<b>Auditor Discussion</b>
	<p>115.42 Documents reviewed:</p> <p>Inmate Housing Assignments Policy</p>

Receiving Screening and Mental Health Evaluation of New Commitments Policy

PREA Color Codes Central Office SOP

Management of Gender Diverse and Intersex Inmates Policy

Inmate Identification Cards Policy

Statement of Search Shower and Pronouns Preference Form

A memo from the Warden indicating shower curtains were added to the units

Gender Diverse and Intersex Inmates Housing Request Form

Gender Diverse and Intersex Inmates 6 Month Review Form

Gender Diverse and Intersex Inmates Housing Recommendation Form

Samples of reviews and housing notification letters

Interviews with the PREA Coordinator, PREA Compliance Manager (PCM), staff responsible for risk screening, and inmates that identified as transgender or intersex.

#### 115.42 Use of Screening Information

A) Information obtained through risk assessments is used to make informed decisions regarding housing, bed, work, education, and program assignments, with the objective of separating individuals at high risk of victimization from those at high risk of being sexually abusive.

B) RIDOC uses PREA Colors (black, red, blue, green) to assist with keeping those identified as potential victims away from those identified as potential predators. Like colors can only be housed in same cell together or any color with black.

C) When determining housing and programming assignments for transgender or intersex individuals, the agency considers, on a case-by-case basis, the individual's health and safety, as well as any potential management or security concerns.

D) Placement and program assignments for transgender or intersex individuals are reassessed at least semi-annually. Gender diverse and intersex inmates complete a Housing Request Form notifying the facility whether the inmate wants to be housed according to assigned sex at birth. The Gender Diverse and Intersex Review Board meets to review the request and makes a recommendation to the ADIO as to male or female housing, exceeding the standard. The ADIO makes the final decision as to male or female housing. Gender diverse and intersex inmates are reviewed by the Warden/designee of the facility they are housed every six months.

E) As documented in Management of Gender Diverse and Intersex inmates Policy, the inmates self-identified safety concerns are given substantial consideration by the Gender Diverse and Intersex Review Board.

F) Transgender and intersex inmates are provided the opportunity to shower

	<p>separately from others. The inmate's preference to shower alone is documented on the Statement of Search Showers &amp; Pronouns Preference Form completed by the inmate.</p> <p>G) The facility does not segregate inmates based solely on sexual orientation or gender identity unless required by law, court order, or settlement agreement to ensure their protection.</p> <p>The facility exceeds compliance with this standard.</p>
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<b>115.43</b>	<b>Protective Custody</b>
	<b>Auditor Overall Determination:</b> Meets Standard
	<b>Auditor Discussion</b>
	<p>115.43 Documents reviewed:</p> <p>Protective Custody for Inmates Policy</p> <p>Pre audit questionnaire (PAQ)</p> <p>Interview with the Warden and staff who supervise inmates in segregated housing. There were no inmates in segregated housing for risk of sexual victimization or who alleged to have suffered sexual abuse.</p> <p>115.43 Protective Custody</p> <p>A) Inmates identified as being at high risk for sexual victimization are not placed in involuntary segregated housing unless all other alternatives have been assessed and found unsuitable. Such placements are temporary and generally do not exceed 30 days. PAQ states no inmates at risk of sexual victimization were held in involuntary segregated housing in the past 12 months for one to 24 hours awaiting completion of assessment.</p> <p>B) Inmates in segregated housing for protective purposes are provided access to programming, privileges, education, and work opportunities, to the greatest extent possible.</p> <p>C) Documentation must reflect the justification for such placements, including safety concerns and the lack of alternative options.</p> <p>D) All placements are recorded and reviewed in accordance with policy.</p> <p>E) Every 30 days, the facility conducts a review to determine the ongoing necessity of continued separation. There were zero (0) inmates placed in involuntary segregated housing due to sexual victimization risk within the last 12 months.</p> <p>The facility is compliant with this standard.</p>

115.51	Inmate reporting
	<p><b>Auditor Overall Determination:</b> Exceeds Standard</p> <p><b>Auditor Discussion</b></p> <p>115.51 Documents reviewed:</p> <p>"Speak up Speak out Get Help" Poster for reporting PREA incidents and the hotline numbers (Special investigative unit, Inspectors office, Rhode Island State Police, The Help Line, and ICE)</p> <p>PREA Policy</p> <p>Inmate Grievances Policy</p> <p>Inmate Communications Policy</p> <p>Adult population reports</p> <p>PREA brochure in English, Spanish, Portuguese and Hmong</p> <p>Day One at a Glance Programs and Services Guide</p> <p>Phone placard on all inmate phones with reporting numbers</p> <p>Employee PREA Training curriculum</p> <p>Site review observations</p> <p>Interviews with 12 random staff and 16 random inmates</p> <p>115.51 Inmate Reporting</p> <p>A) Inmates are provided with multiple private methods to report incidents of sexual abuse, sexual harassment, retaliation, or staff neglect and misconduct, exceeding this standard. These methods include both internal and external reporting options and ensure inmate accessibility. Inmates may report verbally or in writing to any staff member, contractor, volunteer, or intern; calling or writing to RIDOC's Special Investigations Unit (SIU); calling or writing to RIDOC's Office of Inspections (OI); calling the Rhode Island State Police (RISP); calling the Helpline (toll-free); or calling U.S. Immigration and Customs Enforcement (ICE). Reporting methods were tested. Inmates are not required to enter their PINS or pay for calls to any of the PREA numbers.</p> <p>B) Inmates may also report to an external public or private entity not affiliated with RIDOC, either by telephone or mail, ensuring a channel of communication that bypasses the correctional system. Reporting methods were tested.</p> <p>C) Reports may be submitted by any means accessible to the inmate, including verbal or written communication to staff, contractors, or volunteers, filing a grievance, or calling the Helpline, the Rhode Island State Police or ICE. These multiple reporting</p>

	<p>avenues reinforce confidentiality, accessibility, and safety.</p> <p>D) Staff are mandated reporters under RIDOC policy and must report immediately and without exception any known or suspected act of sexual abuse, sexual harassment, or retaliation. Staff may report through the chain of command or privately to SIU or OI, with clear protections in place for making such reports. Staff reporting was tested.</p> <p>The facility exceeds compliance with this standard.</p>
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<b>115.52</b>	<b>Exhaustion of administrative remedies</b>
	<p><b>Auditor Overall Determination:</b> Meets Standard</p>
	<p><b>Auditor Discussion</b></p> <p>Documents reviewed:</p> <p><b>PAQ</b></p> <p><b>PREA policy</b></p> <p><b>PREA Standard</b></p> <p>13.10-5 Inmate grievances</p> <p>Documents reviewed:</p> <p>13.10-5 Inmate Grievances Policy</p> <p>Pre audit questionnaire (PAQ)</p> <p>115.52 Exhaustion of administrative remedies.</p> <p>A) through G) The agency does not have an administrative procedure to address inmate grievances regarding sexual abuse, as evidenced by the grievance policy. The agency is exempt from this standard. As noted in the PAQ, allegations of sexual abuse and sexual harassment are non-grieveable areas of facility life. All allegations of sexual abuse and sexual harassment are documented in the Facility Incident Database and forwarded to the Office of Inspections or the Special Investigations Unit for investigation.</p> <p>The facility is compliant with this standard.</p>

<b>115.53</b>	<b>Inmate access to outside confidential support services</b>
	<p><b>Auditor Overall Determination:</b> Meets Standard</p>
	<p><b>Auditor Discussion</b></p>

	<p>115.53 Documents reviewed:</p> <p>Day One at A Glance Programs and Services Guide</p> <p>SOP on PREA Sexual Abuse Investigations</p> <p>Inmates Eligible for PREA Emotional Support</p> <p>Services Contract with The Counseling &amp; Psychotherapy Center Inc.</p> <p>Pre audit questionnaire (PAQ)</p> <p>Site review observations</p> <p>Interviews with random inmates and inmates who reported sexual abuse.</p> <p>115.53 Inmate access to outside confidential support services.</p> <p>A) The facility provides access to external victim advocacy services, including mailing addresses and toll-free numbers for Day One. RIDOC does not hold persons detained solely for civil immigration purposes. PREA *numbers do not require inmates to enter their PINs. During the site review, the auditor observed posted or printed signage throughout the facility. Signage includes audit notices, how to report sexual abuse and sexual harassment, access to outside victim emotional support services, and other relevant PREA information. The auditor reviewed the information provided on signage and determined it is readable and accessible, consistent, and placed throughout the facility to convey vital sexual safety information specific to the facility. The auditor tested access to outside emotional support services via phone.</p> <p>B) Letters reporting sexual abuse or harassment to designated advocacy or legal entities are treated as privileged mail. This includes correspondence to elected officials, attorneys, consular officials, and sexual assault support services.</p> <p>C) The agency has a contract with The Counseling &amp; Psychotherapy Center, Inc. for the provision of confidential victim services.</p> <p>The facility is compliant with this standard.</p>
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<b>115.54</b>	<b>Third-party reporting</b>
	<b>Auditor Overall Determination:</b> Meets Standard
	<b>Auditor Discussion</b>
	<p>115.54 Documents reviewed:</p> <p>9.49-5 DOC, PREA Policy</p> <p>Visiting population signage – English and Spanish</p>



	<p>Pictures of posters are placed in areas that inmate families can view</p> <p>Website review</p> <p>Site review observations</p> <p>115.54 Third-party reporting.</p> <p>A) Staff shall accept all reports of sexual abuse and sexual harassment whether made verbally, in writing, anonymously or from third parties. There is no time limit on when an inmate or third party may report an incident of sexual abuse or sexual harassment. During the site review, the auditor observed posted or printed signage throughout the facility. Signage includes audit notices, how to report sexual abuse and sexual harassment, access to outside victim emotional support services, and other relevant PREA information. The auditor reviewed the information provided on signage and determined it is readable and accessible, consistent, and placed throughout the facility to convey vital sexual safety information specific to the facility. The third-party reporting method was tested.</p> <p>The facility is compliant with this standard.</p>
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<b>115.61</b>	<b>Staff and agency reporting duties</b>
	<b>Auditor Overall Determination:</b> Meets Standard
	<b>Auditor Discussion</b>
	<p>115.61 Documents reviewed:</p> <p>9.49-5 DOC, PREA Policy</p> <p>Staff PREA training curriculum</p> <p>Attendance records on staff reporting requirements</p> <p>Site review observations</p> <p>Interviews with random staff, medical and mental health staff, Warden, and the PREA Coordinator (PC)</p> <p>115.61 Staff and Agency Reporting Duties</p> <p>A) All staff are required to immediately report knowledge or suspicions of sexual abuse or harassment, including any retaliation or staff negligence related to such incidents. Staff reporting method was tested.</p> <p>B) Staff must disclose information regarding allegations on a strictly need-to-know basis for treatment, investigation, or security purposes. Allegations against direct supervisors must be escalated to higher authority. Staff shall make all PREA reports</p>

	<p>up the chain of command or privately to SIU (Special Investigation unit) or OI (Office of inspection).</p> <p>C) Medical and mental health professionals are required to report incidents of sexual abuse and must inform inmates of the limits of confidentiality at the start of services.</p> <p>D) Allegations involving minors or vulnerable adults are referred to appropriate external agencies, though this is not applicable as the facility does not house minors.</p> <p>E) All reports, including anonymous and third-party, are forwarded to designated facility investigators.</p> <p>The facility is compliant with this standard.</p>
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<b>115.62</b>	<b>Agency protection duties</b>
	<b>Auditor Overall Determination:</b> Meets Standard
	<b>Auditor Discussion</b>
	<p>115.62 Documents reviewed:</p> <p>Protective Custody Policy</p> <p>PREA Policy</p> <p>Pre audit questionnaire (PAQ)</p> <p>Interviews with the Assistant Director of Institutions and Operations (ADIO), Warden and random staff</p> <p>115.62 Agency Protection Duties</p> <p>A) Upon learning of an inmate's exposure to a substantial and imminent risk of sexual abuse, the agency is required to take immediate protective measures. According to the information in the PAQ and interviews, the facility reports no such cases in the past 12 months.</p> <p>The facility is compliant with this standard.</p>

<b>115.63</b>	<b>Reporting to other confinement facilities</b>
	<b>Auditor Overall Determination:</b> Meets Standard

	<b>Auditor Discussion</b>
	<p>115.63 Documents reviewed:</p> <p>9.49-5 DOC, PREA Policy</p> <p>Pre audit questionnaire (PAQ)</p> <p>Interviews with the Assistant Director of Institutions and Operations (ADIO) and the Warden</p> <p>115.63 Reporting to Other Confinement Facilities</p> <p>A) If an allegation is made regarding abuse at another facility, the Warden notifies the leadership at the implicated facility or agency within 72 hours. When allegations are made that an inmate was abused while confined at another facility, the allegation is reported to the other facility and the inmate is offered victim services at RIDOC. This is all documented in the Facility Incident Database. No allegations were received by the facility that an inmate was abused while confined at another facility.</p> <p>B) Written notification is completed and transmitted within the 72-hour period.</p> <p>C) There were no such notifications made in the past 12 months, as no reports were received. Notification is documented in the PREA Tabs in the incident report in the Facility Incident Database.</p> <p>D) Any such notifications received are subject to formal investigation unless already resolved. The information provided in the PAQ state they have not had any allegations that needed to be sent to another facility.</p> <p>The facility is compliant with this standard.</p>

<b>115.64</b>	<b>Staff first responder duties</b>
	<b>Auditor Overall Determination:</b> Exceeds Standard
	<b>Auditor Discussion</b>
	<p>115.64 Documents reviewed:</p> <p>PREA Policy</p> <p>PREA First responder Responsibilities Information Card</p> <p>Pre audit questionnaire (PAQ)</p> <p>SOP PREA Sexual Abuse Investigations</p> <p>Interviews with random staff and security and non-security staff first responders</p>

	<p><b>115.64 Staff First Responder Duties</b></p> <p>A) Exceeding the standard, the facility issues cards to the staff that document the PREA first responder responsibilities in a sexual abuse allegation:</p> <ol style="list-style-type: none"> <li>1. Separate the alleged victim from the alleged perpetrator.</li> <li>2. Notify Superior Officer.</li> <li>3. Ensure that the alleged victim is escorted to a secure and non-hostile environment, preferably a RIDOC Health Care Services Unit, to await medical treatment and the arrival of an investigator/inspector.</li> <li>4. If the alleged perpetrator is an inmate, he or she is immediately escorted to disciplinary confinement or an appropriate holding cell to wait for an SIU Investigator or OI Inspector.</li> <li>5. Secure the crime scene.</li> <li>6. Request that the alleged victim and alleged perpetrator not take any actions that could destroy physical evidence, including washing, brushing teeth, changing clothes, urinating, defecating, drinking or eating.</li> <li>7. Ensure the alleged perpetrator is under constant observation.</li> <li>8. Assign an officer to ensure the crime scene remains secure.</li> <li>9. Notify SIU and the Office of Inspections.</li> <li>10. Notify the Facility Warden/Designee.</li> <li>11. Submit an incident report.</li> </ol> <p>B) If the first responder is not custody staff, they must request that the alleged victim avoid taking any actions that could destroy physical evidence and immediately notify the first available custody staff member</p> <p>The facility exceeds compliance with this standard.</p>
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<b>115.65</b>	<b>Coordinated response</b>
	<b>Auditor Overall Determination:</b> Meets Standard
	<b>Auditor Discussion</b>
	<p>115.65 Documents reviewed:</p> <p>The incident Coordinated Response Plan</p> <p>Interview with the Warden</p>

	<p>115.65 Coordinated response.</p> <p>A) As evidenced by the coordinated response plan submitted and an interview with the Warden, the facility has developed a written institutional plan to coordinate actions taken in response to an incident of sexual abuse, among staff first responders, medical and mental health practitioners, investigators, and facility leadership.</p> <p>The facility is compliant with this standard.</p>
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<b>115.66</b>	<b>Preservation of ability to protect inmates from contact with abusers</b>
	<b>Auditor Overall Determination:</b> Meets Standard
	<b>Auditor Discussion</b>
	<p>115.66 Documents reviewed:</p> <p>The contract between Rhode Island Brotherhood of Correctional Officers and the State of Rhode Island.</p> <p>PREA Policy</p> <p>Interview with the Assistant Director of Institutions and Operations (ADIO)</p> <p>115.66 Preservation of Ability to Protect Inmates from Contact with Abusers</p> <p>A) The PREA Policy and interview affirms that neither the agency nor any representative entity engaged in collective bargaining will enter into or renew agreements that limit the agency's ability to remove staff accused of sexual abuse from contact with inmates during investigations.</p> <p>B) The policy does not restrict agreements related to the conduct of disciplinary proceedings, as long as they do not conflict with evidentiary standards for administrative investigations and clarify how no-contact assignments are handled in personnel files after unfounded allegations. As evidenced in reviewing the contract with the union, the agency has the right to manage, direct, or supervise the operations of the State and the employees is vested solely in the State. For example, the employer shall have the exclusive rights to direct employees in the performance of official duties; to hire, promote, transfer, assign, and retain employees in positions within the bargaining unit and to suspend, demote, discharge or take other disciplinary action against such employees; to maintain the efficiency of the operations entrusted to it; to determine the methods, means, and personnel by which such operations are to be conducted; to relieve employees from duties because of lack of work or for other legitimate reasons; and to take whatever actions may be necessary to carry out its mission in emergency situations, i.e., an unforeseen circumstance or a combination of circumstances which calls for immediate action in a situation which is not expected to be of recurring nature.</p>

	The facility is compliant with this standard.
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<b>115.67</b>	<b>Agency protection against retaliation</b>
	<b>Auditor Overall Determination:</b> Meets Standard
	<b>Auditor Discussion</b>
	<p>115.67 Documents reviewed:</p> <p>9.49-5 DOC, PREA Policy</p> <p>Pre audit questionnaire (PAQ)</p> <p>PREA retaliation monitoring training curriculum</p> <p>PREA Sexual Abuse Harassment Retaliation Monitoring procedures (inmate and staff)</p> <p>Interviews with the Assistant Director of Institutions and Operations (ADIO), Warden, designated staff charged with monitoring retaliation and inmates who reported sexual abuse. There were no inmates in segregated housing for risk of sexual victimization or who allege to have suffered sexual abuse.</p> <p>115.67 Agency Protection Against Retaliation</p> <p>A) The facility maintains procedures to protect all inmates and staff who report sexual abuse or harassment, or who cooperate with investigations, from retaliation. The Warden of each facility designates who conducts retaliation monitoring of the alleged PREA victims in that facility. The Office of Inspections and the Special Investigations Unit conduct retaliation monitoring of third-party reporters and/or witnesses who are in fear of retaliation. The facility documents retaliation monitoring of the victim in the PREA Tabs in the incident report in the Facility Incident Database and OI/SIU document the retaliation monitoring on the forms kept in the investigative files.</p> <p>B) Protective measures may include housing or program changes for the victim or abuser; removal of staff or inmate abusers from contact with the victim; and emotional support services for individuals expressing fear of retaliation.</p> <p>C) For at least 90 days following a report, the PREA Compliance Manager (PCM) or designee monitors and documents conduct and treatment of involved parties. Monitoring includes disciplinary reports; housing or program changes; staff reassignments; and negative performance evaluations.</p> <p>D) Monitoring for inmates also includes periodic status checks.</p> <p>E) If any cooperating individual expresses concern about retaliation, appropriate protective measures are implemented.</p> <p>F) Monitoring obligations may be extended beyond 90 days if necessary or</p>

	<p>terminated early if the allegation is deemed unfounded. All retaliation monitoring shall be documented. The information in the PAQ states that there has been no need to monitor retaliation in the last 12 months.</p> <p>The facility is compliant with this standard.</p>
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<b>115.68</b>	<b>Post-allegation protective custody</b>
	<b>Auditor Overall Determination:</b> Meets Standard
	<b>Auditor Discussion</b>
	<p>115.68 Documents reviewed:</p> <p>9.49-5 DOC, PREA Policy</p> <p>SOP on PREA Sexual Abuse</p> <p>Protective Custody for Inmates Policy</p> <p>Pre audit questionnaire (PAQ)</p> <p>Interviews with the Warden and staff who supervise segregated housing. There were no inmates in segregated housing for risk of sexual victimization or who allege to have suffered sexual abuse.</p> <p>115.68 Post-Allegation Protective Custody</p> <p>A) PREA policy strictly prohibits placing any inmate who is, or is perceived to be, at high risk of sexual victimization in involuntary segregated housing, unless an assessment determines that no alternative housing is available. Such placement is documented including the rationale and assessment. The placement is limited to less than 24 hours while an assessment is completed. The facility has had no cases of inmates being segregated due to alleging sexual abuse, however, a policy is in place should that occur.</p> <p>The facility is compliant with this standard.</p>

<b>115.71</b>	<b>Criminal and administrative agency investigations</b>
	<b>Auditor Overall Determination:</b> Exceeds Standard
	<b>Auditor Discussion</b>
	<p>115.71 Documents reviewed:</p> <p>Pre audit questionnaire (PAQ)</p>

List of inmates eligible for PREA Emotional Support Services

List of investigations

9.49-5 DOC, PREA Policy

SOP PREA Sexual Abuse Investigations

9.42-4 Special Investigations Unit Policy

9.50-2 Office of Inspection Policy

12/20/16 Memo from the PREA coordinator

5.01-5 Management of Semi Active and Archival Records Policy

Review of 11 investigation files

Notification of Rights During an Internal Investigation form

Site review observations - record storage

Interviews with three investigators (administrative), Warden, PREA Coordinator (PC), PREA Compliance Manager (PCM), and inmates who reported sexual abuse.

A) The Office of Inspection (Internal Affairs Unit) shall be responsible for conducting prompt and thorough investigations of complaints of staff misconduct to protect the citizenry, employees, inmates, and the reputation of RIDOC. The Special Investigations Unit is responsible for conducting inmate/inmate administrative PREA investigations. Inspector and Investigators adhere to a uniform evidence protocol designed to maximize the collection of usable physical evidence for both administrative proceedings and criminal prosecution. This protocol is developmentally appropriate for youth and is adapted from the most recent version of the U.S. DOJ's "National Protocol for Sexual Assault Medical Forensic Examinations, Adults/Adolescents" (2011 or later editions). Investigations are conducted promptly, thoroughly, and objectively, including those from third-party or anonymous reports. The 11 investigation reports reviewed, the systematic investigation process tracking, and the organization of facts, findings and documentation exceeds this provision.

B) Where sexual abuse is alleged, the agency uses inspectors and investigators who have received special training in sexual abuse investigations. Training documents reviewed under 115.34.

C) Inspectors and Investigators collect and preserve all relevant evidence including: (1) Physical and DNA evidence; (2) Electronic monitoring data; (3) Interviews with victims, witnesses, and alleged perpetrators; and (4) Review of prior complaints and reports involving the alleged perpetrator. The 11 investigation reports reviewed, the systematic investigation process tracking, and the organization of facts, findings and documentation exceeds this provision.

D) If evidence supports possible criminal prosecution, compelled interviews by the



Rhode Island State Police are conducted only after consultation with the Rhode Island Office of Attorney General ensuring they do not interfere with potential prosecution.

E) Credibility assessments are made on an individual basis, without bias toward the subject's status as an inmate or staff. Inmates are not required to submit to a polygraph or truth-telling device as a condition for investigation.

F) Administrative investigations include analysis of possible staff actions or omissions contributing to the incident. Reports contain: (1) Physical and testimonial evidence; (2) Credibility assessments; and (3) Factual findings and rationale. During the site review, the auditor observed that record storage of sexual abuse/sexual harassment investigation documents are kept in a secured location. All electronic data is password protected with restricted access. The 11 investigation reports reviewed, the systematic investigation process tracking, and the organization of facts, findings and documentation exceeds this provision.

G) The Inspector conducting an investigation submits a final written report to the Chief Inspector upon completion of the internal investigation. An investigator conducting an investigation submits a final written report to his/her SUI Supervisor and/or the Deputy Chief Inspector assigned to oversee SIU. The final report includes all witness statements and other evidence gathered during the investigation, as well as a determination of whether the complaint was substantiated, unsubstantiated or unfounded. If further evidence is brought forth after the submission of a final report, the Inspector or Investigator responsible for the investigation submits a supplemental report to their chain of command as outlined above. All Office of Inspection reports (staff investigations) are reviewed by the Chief Inspector and forwarded to the Director of the RIDOC for review and decision. The 11 investigation reports reviewed, the systematic investigation process tracking, and the organization of facts, findings and documentation exceeds this provision.

H) All substantiated allegations of staff or inmate sexual misconduct that appear criminal, or in cases involving potential criminal charges, inspectors will advise employees of "Garrity" rights (using the Notification of Rights During an Internal Investigation form) and that the statements, information, or evidence they provide in the investigation will not be voluntarily released to a non-Department authority for any subsequent criminal investigation involving the same incident. The PAQ states that one (1) substantiated allegation of conduct that appear to be criminal was referred to prosecution. This file was reviewed.

I) L) A memo dated 12/20/16 was submitted from the PC that states "At our meeting last week, it was decided that the Office of Inspections ("OI") shall complete the required Administrative Investigations for each PREA "Sexual Abuse" allegation and make a written finding as to whether staff actions or failures to act contributed to the abuse. The written findings from the Administrative Investigations shall be maintained by the Special Investigations Unit ("SIU") or OI in the applicable investigative files. For those allegations involving inmate-inmate sexual abuse that are investigated by SIU, the investigator assigned to the case shall notify the Chief of OI of the allegation of "sexual abuse" and provide a copy of the completed SIU

	<p>investigation report to the Chief of OI. The Chief of OI/designee shall conduct the Administrative Investigation: (1) review the SIU report and (2) review any additional information necessary to determine whether staff actions or failures to act contributed to the abuse. The Chief of OI/designee shall document his/her findings and the justification for the findings in writing and send a copy of the Administrative Investigation to the SIU investigator. The findings from the Administrative Investigation shall be maintained in the SIU investigative file. For those allegations involving staff-on-inmate sexual abuse that are investigated by OI, the Inspector assigned to the case shall conduct the Administrative Investigation. The Inspector shall document his/her findings and the justification for the findings in writing and maintain the findings from the Administrative Investigation in the OI investigative file". The 11 investigation reports reviewed, the systematic investigation process tracking, and the organization of facts, findings and documentation exceeds this provision.</p> <p>J) K) Auditor is not required to audit these provisions.</p> <p>A review of eleven (11) investigative reports, interviews, and the policy and practice exceed this standard.</p>
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<b>115.72</b>	<b>Evidentiary standard for administrative investigations</b>
	<b>Auditor Overall Determination:</b> Meets Standard
	<b>Auditor Discussion</b>
	<p>115.72 Documents reviewed:</p> <p><b>The PREA policy</b></p> <p>115.72 Documents reviewed:</p> <p>9.49-5 DOC, PREA Policy</p> <p>SOP Sexual Abuse Investigations</p> <p>Interviews with 3 investigators</p> <p>Review of eleven (11) investigation files</p> <p>115.72 Evidentiary standard for administrative investigations.</p> <p>A) The agency imposes no standard higher than a preponderance of the evidence in determining whether allegations of sexual abuse or sexual harassment are substantiated, as evidenced by policy 9.49-5, PREA sexual abuse investigations SOP, a review of investigation reports.</p> <p>The facility is compliant with this standard.</p>

<b>115.73</b>	<b>Reporting to inmates</b>
	<b>Auditor Overall Determination:</b> Meets Standard
	<b>Auditor Discussion</b>
	<p>115.73 Documents reviewed:</p> <p>39 completed investigations and notifications to inmates on the result of the investigation.</p> <p>Pre audit questionnaire (PAQ)</p> <p>MOU with the Rhode Island State Police (RISP) to provide reporting and investigative services.</p> <p>9.49-5 DOC, PREA Policy</p> <p>Interviews with the Warden, investigators and inmates who reported sexual abuse.</p> <p>115.73 Reporting to Inmates</p> <p>A) Policy requires notification to inmates addressing each element of this standard with the responsible office (SUI or OI) making the notifications in writing or, if after receiving the investigative report from the outside agency investigating the allegation, making the notification to the inmate. PAQ states, RIDOC sends outcome letters for sexual abuse and sexual harassment. Interviews and review of files confirm that all 2024 Sexual Abuse and Sexual Harassment Investigations notification of outcomes were provided to inmates. Two investigations were found to be NOT PREA by SIU due to consensual sexual activity between inmates so no outcome letters were sent to inmates in those matters. However, all alleged inmate victims of sexual abuse or sexual harassment were notified in writing where a finding of substantiated, unsubstantiated or unfounded was made after investigation by either SIU or OI.</p> <p>B) Per the RISP MOU, the agency requests the relevant information from the investigative entity in order to inform the inmate of the outcome of the investigation. The PAQ states there were zero (0) investigations of alleged inmate sexual abuse in the facility that were completed by RISP.</p> <p>C) If the allegation involves a staff member and is substantiated, the facility will notify the inmate when: (1) The staff member is no longer assigned to the inmate's unit; (2) The staff member is no longer employed at the facility; (3) The staff member has been indicted for an offense related to the abuse; or (4) The staff member has been convicted of such an offense. According to the PAQ, there has been 3 unsubstantiated complaints (i.e., not unfounded) of sexual abuse committed by a staff member against an inmate in an agency facility in the past 12 months.</p> <p>D) If the allegation involves another inmate and is substantiated, the facility will notify the victim if: (1) The alleged abuser has been indicted for the abuse; or (2) The alleged abuser has been convicted of the abuse.</p>

	<p>E) All notifications or attempted notifications are documented. According to the PAQ, in the past 12 months, no notifications to inmates were provided pursuant to this standard.</p> <p>F) The auditor is not required to audit this provision.</p> <p>The facility is compliant with this standard.</p>
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115.76	Disciplinary sanctions for staff
	<p><b>Auditor Overall Determination:</b> Meets Standard</p>
	<p><b>Auditor Discussion</b></p>
	<p>115.76 Documents reviewed:</p> <p>Pre audit questionnaire (PAQ)</p> <p>9.49-5 DOC, PREA Policy</p> <p>3.14-4 Staff Code of Ethics and Conduct Policy</p> <p>115.76 Disciplinary Sanctions for Staff</p> <p>A) Staff are subject to disciplinary sanctions up to and including termination for violations of the Department's sexual abuse and sexual harassment policies.</p> <p>B) Termination is the presumptive disciplinary action for staff found to have engaged in sexual abuse. According to the PAQ, in the past 12 months, zero (0) staff from the facility have violated agency sexual abuse or sexual harassment policies.</p> <p>C) The disciplinary sanctions for violations of agency policies relating to sexual abuse or sexual harassment (other than actually engaging in sexual abuse) are commensurate with the nature and circumstances of the acts committed, the staff member's disciplinary history, and the sanctions imposed for comparable offenses by other staff with similar histories. In the past 12 months, zero (0) staff from the facility have been disciplined, short of termination, for violation of agency sexual abuse or sexual harassment policies (other than actually engaging in sexual abuse).</p> <p>D) All terminations for violations of agency sexual abuse or sexual harassment policies, or resignations by staff who would have been terminated if not for their resignation, are reported to law enforcement agencies (unless the activity was clearly not criminal) and to any relevant licensing bodies. In the past 12 months, zero (0) staff from the facility have been reported to law enforcement or licensing boards following their termination (or resignation prior to termination) for violating agency sexual abuse or sexual harassment policies. As evidenced by 9.49-5 DOC, PREA Policy, RIDOC staff members, volunteers, contractors, or interns who commit acts of sexual abuse or sexual harassment toward inmates shall be sanctioned up to and</p>

	<p>including termination and criminal prosecution.</p> <p>The facility is compliant with this standard.</p>
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<b>115.77</b>	<b>Corrective action for contractors and volunteers</b>
	<p><b>Auditor Overall Determination:</b> Meets Standard</p>
	<p><b>Auditor Discussion</b></p>
	<p>115.77 Documents reviewed:</p> <p>9.49-5 DOC, PREA Policy</p> <p>3.14-4 Staff Code of ethics and Conduct Policy</p> <p>9.40-6 Procedures for Contractors at Institutional Facilities</p> <p>Pre audit questionnaire (PAQ)</p> <p>Interview with the Warden</p> <p>115.77 Corrective Action for Contractors and Volunteers.</p> <p>A) Contractors or volunteers who engage in sexual abuse are prohibited from contact with inmates and reported to law enforcement and licensing bodies, unless the conduct is clearly not criminal. In the past 12 months, zero (0) contractors or volunteers have been reported to law enforcement agencies and relevant licensing bodies for engaging in sexual abuse of inmates.</p> <p>B) The facility takes appropriate remedial measures and considers whether to prohibit further contact with inmates in the case of any other violation of agency sexual abuse or sexual harassment policies by a contractor or volunteer. As evidenced by 9.49-5 DOC, PREA Policy any contractor who engages in sexual abuse of an inmate shall be prohibited from contact with inmates and shall be reported to law enforcement agencies through the Office of Inspection. According to the information in the PAQ and interview with the Warden, the facility has not had any allegations of sexual misconduct from a contractor or volunteer in the last 12 months.</p> <p>The facility is compliant with this standard.</p>

<b>115.78</b>	<b>Disciplinary sanctions for inmates</b>
	<p><b>Auditor Overall Determination:</b> Meets Standard</p>
	<p><b>Auditor Discussion</b></p>

	<p>115.78 Documents reviewed:</p> <p>9.49-5 DOC, PREA Policy</p> <p>11.01-8 Inmate Disciplinary Policy</p> <p>One file review</p> <p>Medical IR's</p> <p>Pre audit questionnaire (PAQ)</p> <p>Interviews with the Warden and medical and mental health staff</p> <p>115.78 Disciplinary Sanctions for Inmates.</p> <p>A) As evidenced by the inmate disciplinary and PREA policies, all sexual activity between inmates is prohibited and subject to disciplinary action. All reports of sexual activity shall be documented as possible PREA incidents until a full investigation by SIU or OI indicates otherwise. Sexual activity between inmates shall not be found to be sexual abuse if it is determined after an investigation that the activity was consensual and not coerced. According to the PAQ, a criminal finding of guilt is not required for an inmate to be disciplined. In the past 12 months, one (1) administrative findings of inmate-on-inmate sexual abuse has occurred at the facility. This file was reviewed.</p> <p>B) According to the Warden, sanctions shall be commensurate with the nature and circumstances of the abuse committed, the inmate's disciplinary history, and the sanctions imposed for comparable offenses by other inmates with similar histories.</p> <p>C) The Disciplinary Hearing Officer also considers mental illness or disabilities in deciding on sanctions and interventions.</p> <p>D) The facility may require the inmate to participate in treatment, counseling, or other interventions as a condition for receiving program or housing benefits.</p> <p>E) Inmates may only be disciplined for sexual contact with staff if it is found that the staff members did not consent to the act.</p> <p>F) A report made in good faith and based on a reasonable belief that abuse occurred does not constitute a false report, even if the claim is not substantiated.</p> <p>G) The agency prohibits all sexual activity between inmates. All sexual activity is documented as a possible PREA until SIU investigates and determines the issue of consent. If investigation determines consensual sexual activity between inmates, it will be found "not PREA".</p> <p>The facility is compliant with this standard.</p>
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	<div data-bbox="256 114 960 152" data-label="Text"> <p><b>Auditor Overall Determination:</b> Meets Standard</p> </div> <div data-bbox="256 192 542 226" data-label="Section-Header"> <p><b>Auditor Discussion</b></p> </div> <div data-bbox="256 268 1477 2074" data-label="Text"> <p>115.81 Documents reviewed:</p> <p>Pre audit questionnaire (PAQ)</p> <p>18.30 Receiving, Screening and Mental Health Evaluation of New Commitments Policy</p> <p>Risk screening samples from EMR</p> <p>10 Nurse intake encounters</p> <p>VFE Edits to PREA Encounters</p> <p>List of inmates who are eligible to receive PREA emotional support services</p> <p>Site review observations - records storage</p> <p>Interviews with an inmate who disclosed sexual victimization at risk screening, medical and mental health staff, and staff responsible for risk screening</p> <p>115.81 Medical and Mental Health Screenings; History of Sexual Abuse.</p> <p>A) Upon arrival at a Rhode Island Department of Corrections (RIDOC) intake facility, nursing staff conduct a receiving screening on all inmates as soon as possible. This screening includes structured inquiry and observation to assess both physical and mental health needs. If an inmate discloses a history of prior sexual victimization or perpetration of sexual abuse, they are offered a follow-up meeting with a qualified mental health professional. According to the PAQ, In the past 12 months, 100 percent of inmates who disclosed prior victimization during screening were offered a follow-up meeting with a medical or mental health practitioner. Samples were reviewed. Mental health staff also conduct post-admission evaluations for all inmates to identify individuals who may be at risk for serious mental illness or self-injurious behavior.</p> <p>B) This offer of follow-up mental health services is made regardless of whether the prior sexual abuse occurred in a confinement setting or in the community, ensuring that all victims are supported. According to the PAQ, in the past 12 months, 100 percent of inmates who have previously perpetrated sexual abuse, as indicated during the screening, were offered a follow-up meeting with a mental health practitioner. Samples were reviewed.</p> <p>C) Not applicable — this standard’s provisions regarding jail inmates do not apply, as the facility is not a jail.</p> <p>D) Mental health and medical staff maintain strict confidentiality regarding disclosures of prior sexual victimization or abusive behavior in confinement. Such information is only shared with other staff on a need-to-know basis for the purpose of informing security and management decisions, including treatment plans, housing, bed, work, education, and program assignments, or as otherwise required by federal,</p> </div>
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	<p>state, or local law. During the site review, the auditor observed that record storage was confidential, secured and with restricted access.</p> <p>E) In accordance with RIDOC policy and interviews, medical and mental health practitioners obtain informed consent from inmates before reporting incidents of sexual victimization that occurred outside the facility, unless the inmate is under the age of 18.</p> <p>The facility is compliant with this standard.</p>
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<b>115.82</b>	<b>Access to emergency medical and mental health services</b>
	<b>Auditor Overall Determination:</b> Meets Standard
	<b>Auditor Discussion</b>
	<p>115.82 Documents reviewed:</p> <p>9.49-5 PREA Policy</p> <p>SOP Sexual Abuse Investigations</p> <p>Pre audit questionnaire (PAQ)</p> <p>Receiving and screening mental health evaluations of new commitment</p> <p>Behavioral health risk screening</p> <p>Nurse intake encounters</p> <p>Inmates who are eligible for mental health services</p> <p>Interviews with medical and mental health staff, security and non-security staff first responders, and inmates who reported sexual abuse.</p> <p>115.82 Access to Emergency Medical and Mental Health Services</p> <p>A) Inmates who are victims of sexual abuse receive timely and unrestricted access to emergency medical and crisis intervention services, which are determined by qualified health professionals. All medical and mental health contacts are documented in electronic medical records (EMR).</p> <p>B) If no qualified medical or mental health staff are on duty at the time of a report, custody staff first responders provide initial protection and immediately notify the appropriate health practitioners.</p> <p>C) Victims are offered emergency contraception and prophylaxis for sexually transmitted infections, when medically appropriate and in accordance with community standards of care.</p>



	<p>D) All services are provided at no financial cost to the victim, regardless of whether they identify the abuser or cooperate in an investigation.</p> <p>The facility is compliant with this standard.</p>
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<b>115.83</b>	<b>Ongoing medical and mental health care for sexual abuse victims and abusers</b>
	<b>Auditor Overall Determination:</b> Meets Standard
	<b>Auditor Discussion</b>
	<p>115.83 Documents reviewed:</p> <p>Pre audit questionnaire (PAQ)</p> <p>9.49-5 DOC, PREA Policy</p> <p>Perpetrator referrals to behavioral health samples</p> <p>SOP PREA Sexual Abuse Investigations</p> <p>Four medical incident reports that documented a medical follow-up of inmates who alleged sexual harassment.</p> <p>Interviews with medical and mental health staff and inmates who reported sexual abuse.</p> <p>115.83 Ongoing Medical and Mental Health Care for Victims and Abusers</p> <p>A) Based on a review of facility PREA policy and medical directives, the facility complies with the requirement to offer medical and mental health evaluation and treatment to any inmate who has been sexually abused while in custody.</p> <p>B) According to interviews, services include follow-up care, treatment plans, and referrals for continued care upon transfer, release, or placement in another facility.</p> <p>C) According to interviews, the care provided is consistent with community-level standards.</p> <p>D &amp; E) Not applicable – this is a male-only facility.</p> <p>G) Victims are offered sexually transmitted infection testing as appropriate and receive treatment at no cost, regardless of their participation in the investigation.</p> <p>H) The facility attempts to conduct a mental health evaluation of all known inmate-on-inmate abusers within 60 days of learning about their history and offers treatment if deemed necessary by mental health staff. The Perpetrator Referrals to Behavioral Health tracking sheet and interviews confirm this provision.</p>

	The facility is compliant with this standard.
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<b>115.86</b>	<b>Sexual abuse incident reviews</b>
	<b>Auditor Overall Determination:</b> Meets Standard
	<b>Auditor Discussion</b>
	<p>115.86 Documents reviewed:</p> <p>9.49-5 DOC, PREA Policy</p> <p>Pre audit questionnaire (PAQ)</p> <p>Eight incident reviews</p> <p>Interview with the Warden, PREA Compliance Manager (PCM) and incident review team members</p> <p>115.86 Sexual Abuse Incident Reviews</p> <p>A) As evidenced by the review of eight (8) incident reviews, the facility conducts a sexual abuse incident review at the conclusion of every criminal or administrative sexual abuse investigation, unless the allegation has been determined to be unfounded. A Sexual Abuse Incident Review (SAIR) team conducts the incident reviews. Sexual Abuse Incident Reviews are not conducted on those cases where consensual sexual activity is found, and it is determined to be “not PREA”.</p> <p>B) Reviews are completed within 30 days of the conclusion of the investigation. In the past 12 months, there were four (4) criminal and/or administrative investigations of alleged sexual abuse completed at the facility that were followed by a sexual abuse incident review within 30 days, excluding only "unfounded" incidents.</p> <p>C) The review team shall include, at a minimum, the Warden/designee, the PCM, line supervisor, SIU (for reviews involving inmate on-inmate sexual abuse), OI (for all inmate sexual abuse reviews) and health care services or mental health practitioners, as well as any other “ad hoc” members deemed necessary.</p> <p>D) The review team shall: (1) Consider whether the allegation or investigation indicates a need to change policy or practice to better prevent, detect, or respond to sexual abuse; (2) Consider whether the incident or allegation was motivated by race; ethnicity; gender identity; lesbian, gay, bisexual, transgender, or intersex identification, status, or perceived status; or gang affiliation; or was motivated or otherwise caused by other group dynamics at the facility; (3) Examine the area in the facility where the incident allegedly occurred to assess whether physical barriers in</p>

	<p>the area may enable abuse; (4) Assess the adequacy of staffing levels in that area during different shifts; (5) Assess whether monitoring technology should be deployed or augmented to supplement supervision by staff; and (6) Prepare a report of its findings.</p> <p>E) The Warden/designee shall distribute copies of the Sexual Abuse Incident Review Report to the Assistant Director of Institutions and Operations (ADIO) of Corrections, the RIDOC Director, OI's Chief Inspector and the Agency PREA Coordinator.</p> <p>The facility is compliant with this standard.</p>
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<b>115.87</b>	<b>Data collection</b>
	<b>Auditor Overall Determination:</b> Meets Standard
	<b>Auditor Discussion</b>
	<p>115.87 Documents reviewed:</p> <p>9.49-5 DOC, PREA Policy</p> <p>SSV Summary Form 2023</p> <p>19 Survey of Sexual Victimization forms</p> <p>Pre audit questionnaire (PAQ)</p> <p>115.87 Data Collection</p> <p>A) The Department collects accurate and uniform data for every allegation of sexual abuse at facilities under its direct control. Data collection is conducted using a standardized instrument and definitions.</p> <p>B) This incident-based data is aggregated at least annually.</p> <p>C) Collected data includes, at a minimum, the information required to answer all questions from the most recent Survey of Sexual Violence (SSV) issued by the U.S. Department of Justice.</p> <p>D) Data is maintained, reviewed, and collected from incident-based documents, including reports, investigation files, and Sexual Abuse Incident Reviews (SAIRs).</p> <p>E) Not applicable – The agency does not contract for the housing of inmates.</p> <p>F) Upon request, the Department provides sexual abuse data from the previous calendar year to the Department of Justice no later than June 30. According to the PAQ, SSV and Governor's Certification information was submitted, and annual PREA audits completed.</p>

	The facility is compliant with this standard.
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<b>115.88</b>	<b>Data review for corrective action</b>
	<b>Auditor Overall Determination:</b> Meets Standard
	<b>Auditor Discussion</b>
	<p>115.88 Documents reviewed:</p> <p>9.49-5 DOC, PREA Policy</p> <p>Annual PREA reports from 2013 through 2023</p> <p>Review of agency website <a href="https://doc.ri.gov/more-resources/prison-rape-elimination-act-prea">https://doc.ri.gov/more-resources/prison-rape-elimination-act-prea</a></p> <p>Interviews with the Assistant Director of Institutions and Operations (ADIO), PREA Coordinator (OC) and PREA Compliance Manager (PCM)</p> <p>115.88 Data Review for Corrective Action.</p> <p>A) The Department reviews and analyzes aggregated data to evaluate and improve the effectiveness of its sexual abuse prevention, detection, and response efforts. This review includes identifying problem areas; taking corrective action on an ongoing basis; and preparing an annual report of its findings from its data review and any corrective actions for each facility, as well as the agency as a whole.</p> <p>B) The annual report compares current data and actions with prior years and includes an assessment of the Department's progress in addressing sexual abuse.</p> <p>C) The report is approved by the Director and is readily available to the public via the Department's website.</p> <p>D) When needed for security, the Department may redact content, provided it indicates the nature of the redaction and ensures transparency.</p> <p>The facility is compliant with this standard.</p>

<b>115.89</b>	<b>Data storage, publication, and destruction</b>
	<b>Auditor Overall Determination:</b> Meets Standard
	<b>Auditor Discussion</b>

	<p>115.89 Documents reviewed:</p> <p>9.49-5 DOC, PREA Policy</p> <p>Site review observations – record storage</p> <p>Review of agency website <a href="https://doc.ri.gov/more-resources/prison-rape-elimination-act-prea">https://doc.ri.gov/more-resources/prison-rape-elimination-act-prea</a></p> <p>Interview with the PREA Coordinator (PC)</p> <p>115.89 Data Storage, Publication, and Destruction.</p> <p>A) The Department ensures that all PREA-related data is securely retained. During the site review, the auditor observed that all records are securely maintained with sufficient safeguards in place to protect sensitive and confidential information.</p> <p>B) The Department publishes aggregated sexual abuse data from its facilities at least annually on its public website. The website has been reviewed and confirms public accessibility, and that no personally identifiable information (PII) is included.</p> <p>C) Before publication, all personal identifiers are removed to protect confidentiality. A sample of publicly available sexual abuse data was reviewed.</p> <p>D) The Department retains sexual abuse data for a minimum of 10 years from the date of initial collection, unless a longer retention period is required by federal, state, or local law.</p> <p>The facility is compliant with this standard.</p>
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115.401	Frequency and scope of audits
	<b>Auditor Overall Determination:</b> Meets Standard
	<b>Auditor Discussion</b>
	<p>115.401 Documents reviewed:</p> <p>Review of agency website <a href="https://doc.ri.gov/more-resources/prison-rape-elimination-act-prea">https://doc.ri.gov/more-resources/prison-rape-elimination-act-prea</a></p> <p>Site review observations</p> <p>Notice of Audit in English and Spanish</p> <p>Five correspondences (letters) from inmates</p>

	<p>115.401 Frequency and Scope of Audits</p> <p>A) During the three-year period starting on August 20, 2013, and during each three-year period thereafter, the agency ensured that each facility operated by the agency, or by a private organization on behalf of the agency, is audited at least once. The agency met this standard during the prior three-year audit cycle. The agency met this standard during the prior year.</p> <p>B) During each one-year period starting on August 20, 2013, the agency ensured that at least one-third of each facility type operated by the agency, or by a private organization on behalf of the agency, is audited. The agency website was reviewed.</p> <p>H) The facility provided the auditor with full access to all areas of the audited facility.</p> <p>I) The agency/facility provided the auditor with copies of any requested documents and information (including, among other things, electronically stored information).</p> <p>M) The facility permitted the auditor to conduct interviews with any inmates that were requested by the auditor in a private setting.</p> <p>N) Inmates were permitted to send confidential information or correspondence to the auditor in the same manner as if they were communicating with legal counsel. The auditor received five (5) letters from inmates. All five were interviewed during the onsite audit. A Notice of Audit (NOA) was provided to the facility by the auditor and the facility posted the NOA at least six weeks prior to the audit. This was verified by date-stamped photos in an email of the NOA posted in all housing units. During the onsite, inmates were asked about the NOA and how long it has been posted. Methods for sending confidential information or correspondence to the auditor was reviewed with the facility.</p> <p>The facility is compliant with this standard.</p>
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115.403	Audit contents and findings
	<p><b>Auditor Overall Determination:</b> Meets Standard</p>
	<p><b>Auditor Discussion</b></p>
	<p>115.403 Documents reviewed:</p> <p>Review of agency website <a href="https://doc.ri.gov/more-resources/prison-rape-elimination-act-prea">https://doc.ri.gov/more-resources/prison-rape-elimination-act-prea</a></p> <p>115.403 Audit contents and findings.</p> <p>F) A list of all of the agency's facility audit reports completed 90 days prior to the audit within the appropriate review period are published on the agency's website.</p>

	The facility is compliant with this standard.
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<b>Appendix: Provision Findings</b>		
<b>115.11 (a)</b>	<b>Zero tolerance of sexual abuse and sexual harassment; PREA coordinator</b>	
	Does the agency have a written policy mandating zero tolerance toward all forms of sexual abuse and sexual harassment?	yes
	Does the written policy outline the agency's approach to preventing, detecting, and responding to sexual abuse and sexual harassment?	yes
<b>115.11 (b)</b>	<b>Zero tolerance of sexual abuse and sexual harassment; PREA coordinator</b>	
	Has the agency employed or designated an agency-wide PREA Coordinator?	yes
	Is the PREA Coordinator position in the upper-level of the agency hierarchy?	yes
	Does the PREA Coordinator have sufficient time and authority to develop, implement, and oversee agency efforts to comply with the PREA standards in all of its facilities?	yes
<b>115.11 (c)</b>	<b>Zero tolerance of sexual abuse and sexual harassment; PREA coordinator</b>	
	If this agency operates more than one facility, has each facility designated a PREA compliance manager? (N/A if agency operates only one facility.)	yes
	Does the PREA compliance manager have sufficient time and authority to coordinate the facility's efforts to comply with the PREA standards? (N/A if agency operates only one facility.)	yes
<b>115.12 (a)</b>	<b>Contracting with other entities for the confinement of inmates</b>	
	If this agency is public and it contracts for the confinement of its inmates with private agencies or other entities including other government agencies, has the agency included the entity's obligation to comply with the PREA standards in any new contract or contract renewal signed on or after August 20, 2012? (N/A if the agency does not contract with private agencies or other entities for the confinement of inmates.)	na
<b>115.12 (b)</b>	<b>Contracting with other entities for the confinement of inmates</b>	
	Does any new contract or contract renewal signed on or after August 20, 2012 provide for agency contract monitoring to ensure	na



	that the contractor is complying with the PREA standards? (N/A if the agency does not contract with private agencies or other entities for the confinement of inmates.)	
<b>115.13 (a)</b>	<b>Supervision and monitoring</b>	
	Does the facility have a documented staffing plan that provides for adequate levels of staffing and, where applicable, video monitoring, to protect inmates against sexual abuse?	yes
	In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: Generally accepted detention and correctional practices?	yes
	In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: Any judicial findings of inadequacy?	yes
	In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: Any findings of inadequacy from Federal investigative agencies?	yes
	In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: Any findings of inadequacy from internal or external oversight bodies?	yes
	In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: All components of the facility's physical plant (including "blind-spots" or areas where staff or inmates may be isolated)?	yes
	In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: The composition of the inmate population?	yes
	In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: The number and placement of supervisory staff?	yes
	In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: The institution programs occurring on a particular shift?	yes
	In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into	yes

	consideration: Any applicable State or local laws, regulations, or standards?	
	In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: The prevalence of substantiated and unsubstantiated incidents of sexual abuse?	yes
	In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: Any other relevant factors?	yes
<b>115.13 (b)</b>	<b>Supervision and monitoring</b>	
	In circumstances where the staffing plan is not complied with, does the facility document and justify all deviations from the plan? (N/A if no deviations from staffing plan.)	na
<b>115.13 (c)</b>	<b>Supervision and monitoring</b>	
	In the past 12 months, has the facility, in consultation with the agency PREA Coordinator, assessed, determined, and documented whether adjustments are needed to: The staffing plan established pursuant to paragraph (a) of this section?	yes
	In the past 12 months, has the facility, in consultation with the agency PREA Coordinator, assessed, determined, and documented whether adjustments are needed to: The facility's deployment of video monitoring systems and other monitoring technologies?	yes
	In the past 12 months, has the facility, in consultation with the agency PREA Coordinator, assessed, determined, and documented whether adjustments are needed to: The resources the facility has available to commit to ensure adherence to the staffing plan?	yes
<b>115.13 (d)</b>	<b>Supervision and monitoring</b>	
	Has the facility/agency implemented a policy and practice of having intermediate-level or higher-level supervisors conduct and document unannounced rounds to identify and deter staff sexual abuse and sexual harassment?	yes
	Is this policy and practice implemented for night shifts as well as day shifts?	yes
	Does the facility/agency have a policy prohibiting staff from alerting other staff members that these supervisory rounds are occurring, unless such announcement is related to the legitimate operational functions of the facility?	yes

<b>115.14 (a)</b>	<b>Youthful inmates</b>	
	Does the facility place all youthful inmates in housing units that separate them from sight, sound, and physical contact with any adult inmates through use of a shared dayroom or other common space, shower area, or sleeping quarters? (N/A if facility does not have youthful inmates (inmates <18 years old).)	na
<b>115.14 (b)</b>	<b>Youthful inmates</b>	
	In areas outside of housing units does the agency maintain sight and sound separation between youthful inmates and adult inmates? (N/A if facility does not have youthful inmates (inmates <18 years old).)	na
	In areas outside of housing units does the agency provide direct staff supervision when youthful inmates and adult inmates have sight, sound, or physical contact? (N/A if facility does not have youthful inmates (inmates <18 years old).)	na
<b>115.14 (c)</b>	<b>Youthful inmates</b>	
	Does the agency make its best efforts to avoid placing youthful inmates in isolation to comply with this provision? (N/A if facility does not have youthful inmates (inmates <18 years old).)	na
	Does the agency, while complying with this provision, allow youthful inmates daily large-muscle exercise and legally required special education services, except in exigent circumstances? (N/A if facility does not have youthful inmates (inmates <18 years old).)	na
	Do youthful inmates have access to other programs and work opportunities to the extent possible? (N/A if facility does not have youthful inmates (inmates <18 years old).)	na
<b>115.15 (a)</b>	<b>Limits to cross-gender viewing and searches</b>	
	Does the facility always refrain from conducting any cross-gender strip or cross-gender visual body cavity searches, except in exigent circumstances or by medical practitioners?	yes
<b>115.15 (b)</b>	<b>Limits to cross-gender viewing and searches</b>	
	Does the facility always refrain from conducting cross-gender pat-down searches of female inmates, except in exigent circumstances? (N/A if the facility does not have female inmates.)	na
	Does the facility always refrain from restricting female inmates' access to regularly available programming or other out-of-cell opportunities in order to comply with this provision? (N/A if the	na

	facility does not have female inmates.)	
<b>115.15 (c)</b>	<b>Limits to cross-gender viewing and searches</b>	
	Does the facility document all cross-gender strip searches and cross-gender visual body cavity searches?	yes
	Does the facility document all cross-gender pat-down searches of female inmates (N/A if the facility does not have female inmates)?	na
<b>115.15 (d)</b>	<b>Limits to cross-gender viewing and searches</b>	
	Does the facility have policies that enables inmates to shower, perform bodily functions, and change clothing without nonmedical staff of the opposite gender viewing their breasts, buttocks, or genitalia, except in exigent circumstances or when such viewing is incidental to routine cell checks?	yes
	Does the facility have procedures that enables inmates to shower, perform bodily functions, and change clothing without nonmedical staff of the opposite gender viewing their breasts, buttocks, or genitalia, except in exigent circumstances or when such viewing is incidental to routine cell checks?	yes
	Does the facility require staff of the opposite gender to announce their presence when entering an inmate housing unit?	yes
<b>115.15 (e)</b>	<b>Limits to cross-gender viewing and searches</b>	
	Does the facility always refrain from searching or physically examining transgender or intersex inmates for the sole purpose of determining the inmate's genital status?	yes
	If an inmate's genital status is unknown, does the facility determine genital status during conversations with the inmate, by reviewing medical records, or, if necessary, by learning that information as part of a broader medical examination conducted in private by a medical practitioner?	yes
<b>115.15 (f)</b>	<b>Limits to cross-gender viewing and searches</b>	
	Does the facility/agency train security staff in how to conduct cross-gender pat down searches in a professional and respectful manner, and in the least intrusive manner possible, consistent with security needs?	yes
	Does the facility/agency train security staff in how to conduct searches of transgender and intersex inmates in a professional and respectful manner, and in the least intrusive manner possible, consistent with security needs?	yes

115.16 (a)	<b>Inmates with disabilities and inmates who are limited English proficient</b>	
	Does the agency take appropriate steps to ensure that inmates with disabilities have an equal opportunity to participate in or benefit from all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment, including: inmates who are deaf or hard of hearing?	yes
	Does the agency take appropriate steps to ensure that inmates with disabilities have an equal opportunity to participate in or benefit from all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment, including: inmates who are blind or have low vision?	yes
	Does the agency take appropriate steps to ensure that inmates with disabilities have an equal opportunity to participate in or benefit from all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment, including: inmates who have intellectual disabilities?	yes
	Does the agency take appropriate steps to ensure that inmates with disabilities have an equal opportunity to participate in or benefit from all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment, including: inmates who have psychiatric disabilities?	yes
	Does the agency take appropriate steps to ensure that inmates with disabilities have an equal opportunity to participate in or benefit from all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment, including: inmates who have speech disabilities?	yes
	Does the agency take appropriate steps to ensure that inmates with disabilities have an equal opportunity to participate in or benefit from all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment, including: Other (if "other," please explain in overall determination notes.)	yes
	Do such steps include, when necessary, ensuring effective communication with inmates who are deaf or hard of hearing?	yes
	Do such steps include, when necessary, providing access to interpreters who can interpret effectively, accurately, and impartially, both receptively and expressively, using any necessary specialized vocabulary?	yes
	Does the agency ensure that written materials are provided in formats or through methods that ensure effective communication	yes

	with inmates with disabilities including inmates who: Have intellectual disabilities?	
	Does the agency ensure that written materials are provided in formats or through methods that ensure effective communication with inmates with disabilities including inmates who: Have limited reading skills?	yes
	Does the agency ensure that written materials are provided in formats or through methods that ensure effective communication with inmates with disabilities including inmates who: are blind or have low vision?	yes
<b>115.16 (b)</b>	<b>Inmates with disabilities and inmates who are limited English proficient</b>	
	Does the agency take reasonable steps to ensure meaningful access to all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment to inmates who are limited English proficient?	yes
	Do these steps include providing interpreters who can interpret effectively, accurately, and impartially, both receptively and expressively, using any necessary specialized vocabulary?	yes
<b>115.16 (c)</b>	<b>Inmates with disabilities and inmates who are limited English proficient</b>	
	Does the agency always refrain from relying on inmate interpreters, inmate readers, or other types of inmate assistance except in limited circumstances where an extended delay in obtaining an effective interpreter could compromise the inmate's safety, the performance of first-response duties under §115.64, or the investigation of the inmate's allegations?	yes
<b>115.17 (a)</b>	<b>Hiring and promotion decisions</b>	
	Does the agency prohibit the hiring or promotion of anyone who may have contact with inmates who has engaged in sexual abuse in a prison, jail, lockup, community confinement facility, juvenile facility, or other institution (as defined in 42 U.S.C. 1997)?	yes
	Does the agency prohibit the hiring or promotion of anyone who may have contact with inmates who has been convicted of engaging or attempting to engage in sexual activity in the community facilitated by force, overt or implied threats of force, or coercion, or if the victim did not consent or was unable to consent or refuse?	yes
	Does the agency prohibit the hiring or promotion of anyone who	yes

	may have contact with inmates who has been civilly or administratively adjudicated to have engaged in the activity described in the two bullets immediately above?	
	Does the agency prohibit the enlistment of services of any contractor who may have contact with inmates who has engaged in sexual abuse in a prison, jail, lockup, community confinement facility, juvenile facility, or other institution (as defined in 42 U.S.C. 1997)?	yes
	Does the agency prohibit the enlistment of services of any contractor who may have contact with inmates who has been convicted of engaging or attempting to engage in sexual activity in the community facilitated by force, overt or implied threats of force, or coercion, or if the victim did not consent or was unable to consent or refuse?	yes
	Does the agency prohibit the enlistment of services of any contractor who may have contact with inmates who has been civilly or administratively adjudicated to have engaged in the activity described in the two bullets immediately above?	yes
<b>115.17 (b) Hiring and promotion decisions</b>		
	Does the agency consider any incidents of sexual harassment in determining whether to hire or promote anyone who may have contact with inmates?	yes
	Does the agency consider any incidents of sexual harassment in determining whether to enlist the services of any contractor who may have contact with inmates?	yes
<b>115.17 (c) Hiring and promotion decisions</b>		
	Before hiring new employees who may have contact with inmates, does the agency perform a criminal background records check?	yes
	Before hiring new employees who may have contact with inmates, does the agency, consistent with Federal, State, and local law, make its best efforts to contact all prior institutional employers for information on substantiated allegations of sexual abuse or any resignation during a pending investigation of an allegation of sexual abuse?	yes
<b>115.17 (d) Hiring and promotion decisions</b>		
	Does the agency perform a criminal background records check before enlisting the services of any contractor who may have contact with inmates?	yes

<b>115.17 (e)</b>	<b>Hiring and promotion decisions</b>	
	Does the agency either conduct criminal background records checks at least every five years of current employees and contractors who may have contact with inmates or have in place a system for otherwise capturing such information for current employees?	yes
<b>115.17 (f)</b>	<b>Hiring and promotion decisions</b>	
	Does the agency ask all applicants and employees who may have contact with inmates directly about previous misconduct described in paragraph (a) of this section in written applications or interviews for hiring or promotions?	yes
	Does the agency ask all applicants and employees who may have contact with inmates directly about previous misconduct described in paragraph (a) of this section in any interviews or written self-evaluations conducted as part of reviews of current employees?	yes
	Does the agency impose upon employees a continuing affirmative duty to disclose any such misconduct?	yes
<b>115.17 (g)</b>	<b>Hiring and promotion decisions</b>	
	Does the agency consider material omissions regarding such misconduct, or the provision of materially false information, grounds for termination?	yes
<b>115.17 (h)</b>	<b>Hiring and promotion decisions</b>	
	Does the agency provide information on substantiated allegations of sexual abuse or sexual harassment involving a former employee upon receiving a request from an institutional employer for whom such employee has applied to work? (N/A if providing information on substantiated allegations of sexual abuse or sexual harassment involving a former employee is prohibited by law.)	yes
<b>115.18 (a)</b>	<b>Upgrades to facilities and technologies</b>	
	If the agency designed or acquired any new facility or planned any substantial expansion or modification of existing facilities, did the agency consider the effect of the design, acquisition, expansion, or modification upon the agency's ability to protect inmates from sexual abuse? (N/A if agency/facility has not acquired a new facility or made a substantial expansion to existing facilities since August 20, 2012, or since the last PREA audit, whichever is later.)	na
<b>115.18 (b)</b>	<b>Upgrades to facilities and technologies</b>	



	If the agency installed or updated a video monitoring system, electronic surveillance system, or other monitoring technology, did the agency consider how such technology may enhance the agency's ability to protect inmates from sexual abuse? (N/A if agency/facility has not installed or updated a video monitoring system, electronic surveillance system, or other monitoring technology since August 20, 2012, or since the last PREA audit, whichever is later.)	na
<b>115.21 (a)</b>	<b>Evidence protocol and forensic medical examinations</b>	
	If the agency is responsible for investigating allegations of sexual abuse, does the agency follow a uniform evidence protocol that maximizes the potential for obtaining usable physical evidence for administrative proceedings and criminal prosecutions? (N/A if the agency/facility is not responsible for conducting any form of criminal OR administrative sexual abuse investigations.)	yes
<b>115.21 (b)</b>	<b>Evidence protocol and forensic medical examinations</b>	
	Is this protocol developmentally appropriate for youth where applicable? (N/A if the agency/facility is not responsible for conducting any form of criminal OR administrative sexual abuse investigations.)	yes
	Is this protocol, as appropriate, adapted from or otherwise based on the most recent edition of the U.S. Department of Justice's Office on Violence Against Women publication, "A National Protocol for Sexual Assault Medical Forensic Examinations, Adults/Adolescents," or similarly comprehensive and authoritative protocols developed after 2011? (N/A if the agency/facility is not responsible for conducting any form of criminal OR administrative sexual abuse investigations.)	yes
<b>115.21 (c)</b>	<b>Evidence protocol and forensic medical examinations</b>	
	Does the agency offer all victims of sexual abuse access to forensic medical examinations, whether on-site or at an outside facility, without financial cost, where evidentiarily or medically appropriate?	yes
	Are such examinations performed by Sexual Assault Forensic Examiners (SAFEs) or Sexual Assault Nurse Examiners (SANEs) where possible?	yes
	If SAFEs or SANEs cannot be made available, is the examination performed by other qualified medical practitioners (they must have been specifically trained to conduct sexual assault forensic exams)?	yes

	Has the agency documented its efforts to provide SAFEs or SANEs?	yes
<b>115.21 (d)</b>	<b>Evidence protocol and forensic medical examinations</b>	
	Does the agency attempt to make available to the victim a victim advocate from a rape crisis center?	yes
	If a rape crisis center is not available to provide victim advocate services, does the agency make available to provide these services a qualified staff member from a community-based organization, or a qualified agency staff member? (N/A if the agency always makes a victim advocate from a rape crisis center available to victims.)	na
	Has the agency documented its efforts to secure services from rape crisis centers?	yes
<b>115.21 (e)</b>	<b>Evidence protocol and forensic medical examinations</b>	
	As requested by the victim, does the victim advocate, qualified agency staff member, or qualified community-based organization staff member accompany and support the victim through the forensic medical examination process and investigatory interviews?	yes
	As requested by the victim, does this person provide emotional support, crisis intervention, information, and referrals?	yes
<b>115.21 (f)</b>	<b>Evidence protocol and forensic medical examinations</b>	
	If the agency itself is not responsible for investigating allegations of sexual abuse, has the agency requested that the investigating agency follow the requirements of paragraphs (a) through (e) of this section? (N/A if the agency/facility is responsible for conducting criminal AND administrative sexual abuse investigations.)	yes
<b>115.21 (h)</b>	<b>Evidence protocol and forensic medical examinations</b>	
	If the agency uses a qualified agency staff member or a qualified community-based staff member for the purposes of this section, has the individual been screened for appropriateness to serve in this role and received education concerning sexual assault and forensic examination issues in general? (N/A if agency always makes a victim advocate from a rape crisis center available to victims.)	na
<b>115.22 (a)</b>	<b>Policies to ensure referrals of allegations for investigations</b>	

	Does the agency ensure an administrative or criminal investigation is completed for all allegations of sexual abuse?	yes
	Does the agency ensure an administrative or criminal investigation is completed for all allegations of sexual harassment?	yes
<b>115.22 (b) Policies to ensure referrals of allegations for investigations</b>		
	Does the agency have a policy and practice in place to ensure that allegations of sexual abuse or sexual harassment are referred for investigation to an agency with the legal authority to conduct criminal investigations, unless the allegation does not involve potentially criminal behavior?	yes
	Has the agency published such policy on its website or, if it does not have one, made the policy available through other means?	yes
	Does the agency document all such referrals?	yes
<b>115.22 (c) Policies to ensure referrals of allegations for investigations</b>		
	If a separate entity is responsible for conducting criminal investigations, does the policy describe the responsibilities of both the agency and the investigating entity? (N/A if the agency/facility is responsible for criminal investigations. See 115.21(a).)	yes
<b>115.31 (a) Employee training</b>		
	Does the agency train all employees who may have contact with inmates on its zero-tolerance policy for sexual abuse and sexual harassment?	yes
	Does the agency train all employees who may have contact with inmates on how to fulfill their responsibilities under agency sexual abuse and sexual harassment prevention, detection, reporting, and response policies and procedures?	yes
	Does the agency train all employees who may have contact with inmates on inmates' right to be free from sexual abuse and sexual harassment?	yes
	Does the agency train all employees who may have contact with inmates on the right of inmates and employees to be free from retaliation for reporting sexual abuse and sexual harassment?	yes
	Does the agency train all employees who may have contact with inmates on the dynamics of sexual abuse and sexual harassment in confinement?	yes

	Does the agency train all employees who may have contact with inmates on the common reactions of sexual abuse and sexual harassment victims?	yes
	Does the agency train all employees who may have contact with inmates on how to detect and respond to signs of threatened and actual sexual abuse?	yes
	Does the agency train all employees who may have contact with inmates on how to avoid inappropriate relationships with inmates?	yes
	Does the agency train all employees who may have contact with inmates on how to communicate effectively and professionally with inmates, including lesbian, gay, bisexual, transgender, intersex, or gender nonconforming inmates?	yes
	Does the agency train all employees who may have contact with inmates on how to comply with relevant laws related to mandatory reporting of sexual abuse to outside authorities?	yes
<b>115.31 (b)</b>	<b>Employee training</b>	
	Is such training tailored to the gender of the inmates at the employee's facility?	yes
	Have employees received additional training if reassigned from a facility that houses only male inmates to a facility that houses only female inmates, or vice versa?	yes
<b>115.31 (c)</b>	<b>Employee training</b>	
	Have all current employees who may have contact with inmates received such training?	yes
	Does the agency provide each employee with refresher training every two years to ensure that all employees know the agency's current sexual abuse and sexual harassment policies and procedures?	yes
	In years in which an employee does not receive refresher training, does the agency provide refresher information on current sexual abuse and sexual harassment policies?	yes
<b>115.31 (d)</b>	<b>Employee training</b>	
	Does the agency document, through employee signature or electronic verification, that employees understand the training they have received?	yes
<b>115.32 (a)</b>	<b>Volunteer and contractor training</b>	

	Has the agency ensured that all volunteers and contractors who have contact with inmates have been trained on their responsibilities under the agency's sexual abuse and sexual harassment prevention, detection, and response policies and procedures?	yes
<b>115.32 (b)</b>	<b>Volunteer and contractor training</b>	
	Have all volunteers and contractors who have contact with inmates been notified of the agency's zero-tolerance policy regarding sexual abuse and sexual harassment and informed how to report such incidents (the level and type of training provided to volunteers and contractors shall be based on the services they provide and level of contact they have with inmates)?	yes
<b>115.32 (c)</b>	<b>Volunteer and contractor training</b>	
	Does the agency maintain documentation confirming that volunteers and contractors understand the training they have received?	yes
<b>115.33 (a)</b>	<b>Inmate education</b>	
	During intake, do inmates receive information explaining the agency's zero-tolerance policy regarding sexual abuse and sexual harassment?	yes
	During intake, do inmates receive information explaining how to report incidents or suspicions of sexual abuse or sexual harassment?	yes
<b>115.33 (b)</b>	<b>Inmate education</b>	
	Within 30 days of intake, does the agency provide comprehensive education to inmates either in person or through video regarding: Their rights to be free from sexual abuse and sexual harassment?	yes
	Within 30 days of intake, does the agency provide comprehensive education to inmates either in person or through video regarding: Their rights to be free from retaliation for reporting such incidents?	yes
	Within 30 days of intake, does the agency provide comprehensive education to inmates either in person or through video regarding: Agency policies and procedures for responding to such incidents?	yes
<b>115.33 (c)</b>	<b>Inmate education</b>	
	Have all inmates received the comprehensive education referenced in 115.33(b)?	yes

	Do inmates receive education upon transfer to a different facility to the extent that the policies and procedures of the inmate's new facility differ from those of the previous facility?	yes
<b>115.33 (d)</b>	<b>Inmate education</b>	
	Does the agency provide inmate education in formats accessible to all inmates including those who are limited English proficient?	yes
	Does the agency provide inmate education in formats accessible to all inmates including those who are deaf?	yes
	Does the agency provide inmate education in formats accessible to all inmates including those who are visually impaired?	yes
	Does the agency provide inmate education in formats accessible to all inmates including those who are otherwise disabled?	yes
	Does the agency provide inmate education in formats accessible to all inmates including those who have limited reading skills?	yes
<b>115.33 (e)</b>	<b>Inmate education</b>	
	Does the agency maintain documentation of inmate participation in these education sessions?	yes
<b>115.33 (f)</b>	<b>Inmate education</b>	
	In addition to providing such education, does the agency ensure that key information is continuously and readily available or visible to inmates through posters, inmate handbooks, or other written formats?	yes
<b>115.34 (a)</b>	<b>Specialized training: Investigations</b>	
	In addition to the general training provided to all employees pursuant to §115.31, does the agency ensure that, to the extent the agency itself conducts sexual abuse investigations, its investigators receive training in conducting such investigations in confinement settings? (N/A if the agency does not conduct any form of administrative or criminal sexual abuse investigations. See 115.21(a).)	yes
<b>115.34 (b)</b>	<b>Specialized training: Investigations</b>	
	Does this specialized training include techniques for interviewing sexual abuse victims? (N/A if the agency does not conduct any form of administrative or criminal sexual abuse investigations. See 115.21(a).)	yes
	Does this specialized training include proper use of Miranda and	yes

	Garrrity warnings? (N/A if the agency does not conduct any form of administrative or criminal sexual abuse investigations. See 115.21(a).)	
	Does this specialized training include sexual abuse evidence collection in confinement settings? (N/A if the agency does not conduct any form of administrative or criminal sexual abuse investigations. See 115.21(a).)	yes
	Does this specialized training include the criteria and evidence required to substantiate a case for administrative action or prosecution referral? (N/A if the agency does not conduct any form of administrative or criminal sexual abuse investigations. See 115.21(a).)	yes
<b>115.34 (c)</b>	<b>Specialized training: Investigations</b>	
	Does the agency maintain documentation that agency investigators have completed the required specialized training in conducting sexual abuse investigations? (N/A if the agency does not conduct any form of administrative or criminal sexual abuse investigations. See 115.21(a).)	yes
<b>115.35 (a)</b>	<b>Specialized training: Medical and mental health care</b>	
	Does the agency ensure that all full- and part-time medical and mental health care practitioners who work regularly in its facilities have been trained in how to detect and assess signs of sexual abuse and sexual harassment? (N/A if the agency does not have any full- or part-time medical or mental health care practitioners who work regularly in its facilities.)	yes
	Does the agency ensure that all full- and part-time medical and mental health care practitioners who work regularly in its facilities have been trained in how to preserve physical evidence of sexual abuse? (N/A if the agency does not have any full- or part-time medical or mental health care practitioners who work regularly in its facilities.)	yes
	Does the agency ensure that all full- and part-time medical and mental health care practitioners who work regularly in its facilities have been trained in how to respond effectively and professionally to victims of sexual abuse and sexual harassment? (N/A if the agency does not have any full- or part-time medical or mental health care practitioners who work regularly in its facilities.)	yes
	Does the agency ensure that all full- and part-time medical and mental health care practitioners who work regularly in its facilities have been trained in how and to whom to report allegations or	yes

	suspicious of sexual abuse and sexual harassment? (N/A if the agency does not have any full- or part-time medical or mental health care practitioners who work regularly in its facilities.)	
<b>115.35 (b)</b>	<b>Specialized training: Medical and mental health care</b>	
	If medical staff employed by the agency conduct forensic examinations, do such medical staff receive appropriate training to conduct such examinations? (N/A if agency medical staff at the facility do not conduct forensic exams or the agency does not employ medical staff.)	na
<b>115.35 (c)</b>	<b>Specialized training: Medical and mental health care</b>	
	Does the agency maintain documentation that medical and mental health practitioners have received the training referenced in this standard either from the agency or elsewhere? (N/A if the agency does not have any full- or part-time medical or mental health care practitioners who work regularly in its facilities.)	yes
<b>115.35 (d)</b>	<b>Specialized training: Medical and mental health care</b>	
	Do medical and mental health care practitioners employed by the agency also receive training mandated for employees by §115.31? (N/A if the agency does not have any full- or part-time medical or mental health care practitioners employed by the agency.)	yes
	Do medical and mental health care practitioners contracted by or volunteering for the agency also receive training mandated for contractors and volunteers by §115.32? (N/A if the agency does not have any full- or part-time medical or mental health care practitioners contracted by or volunteering for the agency.)	yes
<b>115.41 (a)</b>	<b>Screening for risk of victimization and abusiveness</b>	
	Are all inmates assessed during an intake screening for their risk of being sexually abused by other inmates or sexually abusive toward other inmates?	yes
	Are all inmates assessed upon transfer to another facility for their risk of being sexually abused by other inmates or sexually abusive toward other inmates?	yes
<b>115.41 (b)</b>	<b>Screening for risk of victimization and abusiveness</b>	
	Do intake screenings ordinarily take place within 72 hours of arrival at the facility?	yes
<b>115.41 (c)</b>	<b>Screening for risk of victimization and abusiveness</b>	
	Are all PREA screening assessments conducted using an objective	yes



	screening instrument?	
<b>115.41 (d)</b>	<b>Screening for risk of victimization and abusiveness</b>	
	Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (1) Whether the inmate has a mental, physical, or developmental disability?	yes
	Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (2) The age of the inmate?	yes
	Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (3) The physical build of the inmate?	yes
	Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (4) Whether the inmate has previously been incarcerated?	yes
	Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (5) Whether the inmate's criminal history is exclusively nonviolent?	yes
	Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (6) Whether the inmate has prior convictions for sex offenses against an adult or child?	yes
	Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (7) Whether the inmate is or is perceived to be gay, lesbian, bisexual, transgender, intersex, or gender nonconforming (the facility affirmatively asks the inmate about his/her sexual orientation and gender identity AND makes a subjective determination based on the screener's perception whether the inmate is gender non-conforming or otherwise may be perceived to be LGBTI)?	yes
	Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (8) Whether the inmate has previously experienced sexual victimization?	yes
	Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (9) The inmate's own perception of vulnerability?	yes
	Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (10)	yes

	Whether the inmate is detained solely for civil immigration purposes?	
<b>115.41 (e)</b>	<b>Screening for risk of victimization and abusiveness</b>	
	In assessing inmates for risk of being sexually abusive, does the initial PREA risk screening consider, as known to the agency: prior acts of sexual abuse?	yes
	In assessing inmates for risk of being sexually abusive, does the initial PREA risk screening consider, as known to the agency: prior convictions for violent offenses?	yes
	In assessing inmates for risk of being sexually abusive, does the initial PREA risk screening consider, as known to the agency: history of prior institutional violence or sexual abuse?	yes
<b>115.41 (f)</b>	<b>Screening for risk of victimization and abusiveness</b>	
	Within a set time period not more than 30 days from the inmate's arrival at the facility, does the facility reassess the inmate's risk of victimization or abusiveness based upon any additional, relevant information received by the facility since the intake screening?	yes
<b>115.41 (g)</b>	<b>Screening for risk of victimization and abusiveness</b>	
	Does the facility reassess an inmate's risk level when warranted due to a referral?	yes
	Does the facility reassess an inmate's risk level when warranted due to a request?	yes
	Does the facility reassess an inmate's risk level when warranted due to an incident of sexual abuse?	yes
	Does the facility reassess an inmate's risk level when warranted due to receipt of additional information that bears on the inmate's risk of sexual victimization or abusiveness?	yes
<b>115.41 (h)</b>	<b>Screening for risk of victimization and abusiveness</b>	
	Is it the case that inmates are not ever disciplined for refusing to answer, or for not disclosing complete information in response to, questions asked pursuant to paragraphs (d)(1), (d)(7), (d)(8), or (d)(9) of this section?	yes
<b>115.41 (i)</b>	<b>Screening for risk of victimization and abusiveness</b>	
	Has the agency implemented appropriate controls on the dissemination within the facility of responses to questions asked pursuant to this standard in order to ensure that sensitive	yes

	information is not exploited to the inmate's detriment by staff or other inmates?	
<b>115.42 (a)</b>	<b>Use of screening information</b>	
	Does the agency use information from the risk screening required by § 115.41, with the goal of keeping separate those inmates at high risk of being sexually victimized from those at high risk of being sexually abusive, to inform: Housing Assignments?	yes
	Does the agency use information from the risk screening required by § 115.41, with the goal of keeping separate those inmates at high risk of being sexually victimized from those at high risk of being sexually abusive, to inform: Bed assignments?	yes
	Does the agency use information from the risk screening required by § 115.41, with the goal of keeping separate those inmates at high risk of being sexually victimized from those at high risk of being sexually abusive, to inform: Work Assignments?	yes
	Does the agency use information from the risk screening required by § 115.41, with the goal of keeping separate those inmates at high risk of being sexually victimized from those at high risk of being sexually abusive, to inform: Education Assignments?	yes
	Does the agency use information from the risk screening required by § 115.41, with the goal of keeping separate those inmates at high risk of being sexually victimized from those at high risk of being sexually abusive, to inform: Program Assignments?	yes
<b>115.42 (b)</b>	<b>Use of screening information</b>	
	Does the agency make individualized determinations about how to ensure the safety of each inmate?	yes
<b>115.42 (c)</b>	<b>Use of screening information</b>	
	When deciding whether to assign a transgender or intersex inmate to a facility for male or female inmates, does the agency consider, on a case-by-case basis, whether a placement would ensure the inmate's health and safety, and whether a placement would present management or security problems (NOTE: if an agency by policy or practice assigns inmates to a male or female facility on the basis of anatomy alone, that agency is not in compliance with this standard)?	yes
	When making housing or other program assignments for transgender or intersex inmates, does the agency consider, on a case-by-case basis, whether a placement would ensure the inmate's health and safety, and whether a placement would	yes

	present management or security problems?	
<b>115.42 (d)</b>	<b>Use of screening information</b>	
	Are placement and programming assignments for each transgender or intersex inmate reassessed at least twice each year to review any threats to safety experienced by the inmate?	yes
<b>115.42 (e)</b>	<b>Use of screening information</b>	
	Are each transgender or intersex inmate's own views with respect to his or her own safety given serious consideration when making facility and housing placement decisions and programming assignments?	yes
<b>115.42 (f)</b>	<b>Use of screening information</b>	
	Are transgender and intersex inmates given the opportunity to shower separately from other inmates?	yes
<b>115.42 (g)</b>	<b>Use of screening information</b>	
	Unless placement is in a dedicated facility, unit, or wing established in connection with a consent decree, legal settlement, or legal judgment for the purpose of protecting lesbian, gay, bisexual, transgender, or intersex inmates, does the agency always refrain from placing: lesbian, gay, and bisexual inmates in dedicated facilities, units, or wings solely on the basis of such identification or status? (N/A if the agency has a dedicated facility, unit, or wing solely for the placement of LGBT or I inmates pursuant to a consent decree, legal settlement, or legal judgement.)	yes
	Unless placement is in a dedicated facility, unit, or wing established in connection with a consent decree, legal settlement, or legal judgment for the purpose of protecting lesbian, gay, bisexual, transgender, or intersex inmates, does the agency always refrain from placing: transgender inmates in dedicated facilities, units, or wings solely on the basis of such identification or status? (N/A if the agency has a dedicated facility, unit, or wing solely for the placement of LGBT or I inmates pursuant to a consent decree, legal settlement, or legal judgement.)	yes
	Unless placement is in a dedicated facility, unit, or wing established in connection with a consent decree, legal settlement, or legal judgment for the purpose of protecting lesbian, gay, bisexual, transgender, or intersex inmates, does the agency always refrain from placing: intersex inmates in dedicated facilities, units, or wings solely on the basis of such identification or status? (N/A if the agency has a dedicated facility, unit, or wing	yes

	solely for the placement of LGBT or I inmates pursuant to a consent degree, legal settlement, or legal judgement.)	
<b>115.43 (a)</b>	<b>Protective Custody</b>	
	Does the facility always refrain from placing inmates at high risk for sexual victimization in involuntary segregated housing unless an assessment of all available alternatives has been made, and a determination has been made that there is no available alternative means of separation from likely abusers?	yes
	If a facility cannot conduct such an assessment immediately, does the facility hold the inmate in involuntary segregated housing for less than 24 hours while completing the assessment?	yes
<b>115.43 (b)</b>	<b>Protective Custody</b>	
	Do inmates who are placed in segregated housing because they are at high risk of sexual victimization have access to: Programs to the extent possible?	yes
	Do inmates who are placed in segregated housing because they are at high risk of sexual victimization have access to: Privileges to the extent possible?	yes
	Do inmates who are placed in segregated housing because they are at high risk of sexual victimization have access to: Education to the extent possible?	yes
	Do inmates who are placed in segregated housing because they are at high risk of sexual victimization have access to: Work opportunities to the extent possible?	yes
	If the facility restricts any access to programs, privileges, education, or work opportunities, does the facility document the opportunities that have been limited? (N/A if the facility never restricts access to programs, privileges, education, or work opportunities.)	yes
	If the facility restricts access to programs, privileges, education, or work opportunities, does the facility document the duration of the limitation? (N/A if the facility never restricts access to programs, privileges, education, or work opportunities.)	yes
	If the facility restricts access to programs, privileges, education, or work opportunities, does the facility document the reasons for such limitations? (N/A if the facility never restricts access to programs, privileges, education, or work opportunities.)	yes
<b>115.43 (c)</b>	<b>Protective Custody</b>	

	Does the facility assign inmates at high risk of sexual victimization to involuntary segregated housing only until an alternative means of separation from likely abusers can be arranged?	yes
	Does such an assignment not ordinarily exceed a period of 30 days?	yes
<b>115.43 (d) Protective Custody</b>		
	If an involuntary segregated housing assignment is made pursuant to paragraph (a) of this section, does the facility clearly document: The basis for the facility's concern for the inmate's safety?	yes
	If an involuntary segregated housing assignment is made pursuant to paragraph (a) of this section, does the facility clearly document: The reason why no alternative means of separation can be arranged?	yes
<b>115.43 (e) Protective Custody</b>		
	In the case of each inmate who is placed in involuntary segregation because he/she is at high risk of sexual victimization, does the facility afford a review to determine whether there is a continuing need for separation from the general population EVERY 30 DAYS?	yes
<b>115.51 (a) Inmate reporting</b>		
	Does the agency provide multiple internal ways for inmates to privately report: Sexual abuse and sexual harassment?	yes
	Does the agency provide multiple internal ways for inmates to privately report: Retaliation by other inmates or staff for reporting sexual abuse and sexual harassment?	yes
	Does the agency provide multiple internal ways for inmates to privately report: Staff neglect or violation of responsibilities that may have contributed to such incidents?	yes
<b>115.51 (b) Inmate reporting</b>		
	Does the agency also provide at least one way for inmates to report sexual abuse or sexual harassment to a public or private entity or office that is not part of the agency?	yes
	Is that private entity or office able to receive and immediately forward inmate reports of sexual abuse and sexual harassment to agency officials?	yes
	Does that private entity or office allow the inmate to remain	yes

	anonymous upon request?	
	Are inmates detained solely for civil immigration purposes provided information on how to contact relevant consular officials and relevant officials at the Department of Homeland Security? (N/A if the facility never houses inmates detained solely for civil immigration purposes.)	na
<b>115.51 (c)</b>	<b>Inmate reporting</b>	
	Does staff accept reports of sexual abuse and sexual harassment made verbally, in writing, anonymously, and from third parties?	yes
	Does staff promptly document any verbal reports of sexual abuse and sexual harassment?	yes
<b>115.51 (d)</b>	<b>Inmate reporting</b>	
	Does the agency provide a method for staff to privately report sexual abuse and sexual harassment of inmates?	yes
<b>115.52 (a)</b>	<b>Exhaustion of administrative remedies</b>	
	Is the agency exempt from this standard? NOTE: The agency is exempt ONLY if it does not have administrative procedures to address inmate grievances regarding sexual abuse. This does not mean the agency is exempt simply because an inmate does not have to or is not ordinarily expected to submit a grievance to report sexual abuse. This means that as a matter of explicit policy, the agency does not have an administrative remedies process to address sexual abuse.	yes
<b>115.52 (b)</b>	<b>Exhaustion of administrative remedies</b>	
	Does the agency permit inmates to submit a grievance regarding an allegation of sexual abuse without any type of time limits? (The agency may apply otherwise-applicable time limits to any portion of a grievance that does not allege an incident of sexual abuse.) (N/A if agency is exempt from this standard.)	na
	Does the agency always refrain from requiring an inmate to use any informal grievance process, or to otherwise attempt to resolve with staff, an alleged incident of sexual abuse? (N/A if agency is exempt from this standard.)	na
<b>115.52 (c)</b>	<b>Exhaustion of administrative remedies</b>	
	Does the agency ensure that: An inmate who alleges sexual abuse may submit a grievance without submitting it to a staff member who is the subject of the complaint? (N/A if agency is exempt from	na

	this standard.)	
	Does the agency ensure that: Such grievance is not referred to a staff member who is the subject of the complaint? (N/A if agency is exempt from this standard.)	na
<b>115.52 (d)</b>	<b>Exhaustion of administrative remedies</b>	
	Does the agency issue a final agency decision on the merits of any portion of a grievance alleging sexual abuse within 90 days of the initial filing of the grievance? (Computation of the 90-day time period does not include time consumed by inmates in preparing any administrative appeal.) (N/A if agency is exempt from this standard.)	na
	If the agency claims the maximum allowable extension of time to respond of up to 70 days per 115.52(d)(3) when the normal time period for response is insufficient to make an appropriate decision, does the agency notify the inmate in writing of any such extension and provide a date by which a decision will be made? (N/A if agency is exempt from this standard.)	na
	At any level of the administrative process, including the final level, if the inmate does not receive a response within the time allotted for reply, including any properly noticed extension, may an inmate consider the absence of a response to be a denial at that level? (N/A if agency is exempt from this standard.)	na
<b>115.52 (e)</b>	<b>Exhaustion of administrative remedies</b>	
	Are third parties, including fellow inmates, staff members, family members, attorneys, and outside advocates, permitted to assist inmates in filing requests for administrative remedies relating to allegations of sexual abuse? (N/A if agency is exempt from this standard.)	na
	Are those third parties also permitted to file such requests on behalf of inmates? (If a third party files such a request on behalf of an inmate, the facility may require as a condition of processing the request that the alleged victim agree to have the request filed on his or her behalf, and may also require the alleged victim to personally pursue any subsequent steps in the administrative remedy process.) (N/A if agency is exempt from this standard.)	na
	If the inmate declines to have the request processed on his or her behalf, does the agency document the inmate's decision? (N/A if agency is exempt from this standard.)	na
<b>115.52 (f)</b>	<b>Exhaustion of administrative remedies</b>	



	Has the agency established procedures for the filing of an emergency grievance alleging that an inmate is subject to a substantial risk of imminent sexual abuse? (N/A if agency is exempt from this standard.)	na
	After receiving an emergency grievance alleging an inmate is subject to a substantial risk of imminent sexual abuse, does the agency immediately forward the grievance (or any portion thereof that alleges the substantial risk of imminent sexual abuse) to a level of review at which immediate corrective action may be taken? (N/A if agency is exempt from this standard.).	na
	After receiving an emergency grievance described above, does the agency provide an initial response within 48 hours? (N/A if agency is exempt from this standard.)	na
	After receiving an emergency grievance described above, does the agency issue a final agency decision within 5 calendar days? (N/A if agency is exempt from this standard.)	na
	Does the initial response and final agency decision document the agency's determination whether the inmate is in substantial risk of imminent sexual abuse? (N/A if agency is exempt from this standard.)	na
	Does the initial response document the agency's action(s) taken in response to the emergency grievance? (N/A if agency is exempt from this standard.)	na
	Does the agency's final decision document the agency's action(s) taken in response to the emergency grievance? (N/A if agency is exempt from this standard.)	na
<b>115.52 (g)</b>	<b>Exhaustion of administrative remedies</b>	
	If the agency disciplines an inmate for filing a grievance related to alleged sexual abuse, does it do so ONLY where the agency demonstrates that the inmate filed the grievance in bad faith? (N/A if agency is exempt from this standard.)	na
<b>115.53 (a)</b>	<b>Inmate access to outside confidential support services</b>	
	Does the facility provide inmates with access to outside victim advocates for emotional support services related to sexual abuse by giving inmates mailing addresses and telephone numbers, including toll-free hotline numbers where available, of local, State, or national victim advocacy or rape crisis organizations?	yes
	Does the facility provide persons detained solely for civil immigration purposes mailing addresses and telephone numbers,	na

	including toll-free hotline numbers where available of local, State, or national immigrant services agencies? (N/A if the facility never has persons detained solely for civil immigration purposes.)	
	Does the facility enable reasonable communication between inmates and these organizations and agencies, in as confidential a manner as possible?	yes
<b>115.53 (b)</b>	<b>Inmate access to outside confidential support services</b>	
	Does the facility inform inmates, prior to giving them access, of the extent to which such communications will be monitored and the extent to which reports of abuse will be forwarded to authorities in accordance with mandatory reporting laws?	yes
<b>115.53 (c)</b>	<b>Inmate access to outside confidential support services</b>	
	Does the agency maintain or attempt to enter into memoranda of understanding or other agreements with community service providers that are able to provide inmates with confidential emotional support services related to sexual abuse?	yes
	Does the agency maintain copies of agreements or documentation showing attempts to enter into such agreements?	yes
<b>115.54 (a)</b>	<b>Third-party reporting</b>	
	Has the agency established a method to receive third-party reports of sexual abuse and sexual harassment?	yes
	Has the agency distributed publicly information on how to report sexual abuse and sexual harassment on behalf of an inmate?	yes
<b>115.61 (a)</b>	<b>Staff and agency reporting duties</b>	
	Does the agency require all staff to report immediately and according to agency policy any knowledge, suspicion, or information regarding an incident of sexual abuse or sexual harassment that occurred in a facility, whether or not it is part of the agency?	yes
	Does the agency require all staff to report immediately and according to agency policy any knowledge, suspicion, or information regarding retaliation against inmates or staff who reported an incident of sexual abuse or sexual harassment?	yes
	Does the agency require all staff to report immediately and according to agency policy any knowledge, suspicion, or information regarding any staff neglect or violation of responsibilities that may have contributed to an incident of sexual	yes

	abuse or sexual harassment or retaliation?	
<b>115.61 (b)</b>	<b>Staff and agency reporting duties</b>	
	Apart from reporting to designated supervisors or officials, does staff always refrain from revealing any information related to a sexual abuse report to anyone other than to the extent necessary, as specified in agency policy, to make treatment, investigation, and other security and management decisions?	yes
<b>115.61 (c)</b>	<b>Staff and agency reporting duties</b>	
	Unless otherwise precluded by Federal, State, or local law, are medical and mental health practitioners required to report sexual abuse pursuant to paragraph (a) of this section?	yes
	Are medical and mental health practitioners required to inform inmates of the practitioner's duty to report, and the limitations of confidentiality, at the initiation of services?	yes
<b>115.61 (d)</b>	<b>Staff and agency reporting duties</b>	
	If the alleged victim is under the age of 18 or considered a vulnerable adult under a State or local vulnerable persons statute, does the agency report the allegation to the designated State or local services agency under applicable mandatory reporting laws?	yes
<b>115.61 (e)</b>	<b>Staff and agency reporting duties</b>	
	Does the facility report all allegations of sexual abuse and sexual harassment, including third-party and anonymous reports, to the facility's designated investigators?	yes
<b>115.62 (a)</b>	<b>Agency protection duties</b>	
	When the agency learns that an inmate is subject to a substantial risk of imminent sexual abuse, does it take immediate action to protect the inmate?	yes
<b>115.63 (a)</b>	<b>Reporting to other confinement facilities</b>	
	Upon receiving an allegation that an inmate was sexually abused while confined at another facility, does the head of the facility that received the allegation notify the head of the facility or appropriate office of the agency where the alleged abuse occurred?	yes
<b>115.63 (b)</b>	<b>Reporting to other confinement facilities</b>	
	Is such notification provided as soon as possible, but no later than 72 hours after receiving the allegation?	yes

<b>115.63 (c)</b>	<b>Reporting to other confinement facilities</b>	
	Does the agency document that it has provided such notification?	yes
<b>115.63 (d)</b>	<b>Reporting to other confinement facilities</b>	
	Does the facility head or agency office that receives such notification ensure that the allegation is investigated in accordance with these standards?	yes
<b>115.64 (a)</b>	<b>Staff first responder duties</b>	
	Upon learning of an allegation that an inmate was sexually abused, is the first security staff member to respond to the report required to: Separate the alleged victim and abuser?	yes
	Upon learning of an allegation that an inmate was sexually abused, is the first security staff member to respond to the report required to: Preserve and protect any crime scene until appropriate steps can be taken to collect any evidence?	yes
	Upon learning of an allegation that an inmate was sexually abused, is the first security staff member to respond to the report required to: Request that the alleged victim not take any actions that could destroy physical evidence, including, as appropriate, washing, brushing teeth, changing clothes, urinating, defecating, smoking, drinking, or eating, if the abuse occurred within a time period that still allows for the collection of physical evidence?	yes
	Upon learning of an allegation that an inmate was sexually abused, is the first security staff member to respond to the report required to: Ensure that the alleged abuser does not take any actions that could destroy physical evidence, including, as appropriate, washing, brushing teeth, changing clothes, urinating, defecating, smoking, drinking, or eating, if the abuse occurred within a time period that still allows for the collection of physical evidence?	yes
<b>115.64 (b)</b>	<b>Staff first responder duties</b>	
	If the first staff responder is not a security staff member, is the responder required to request that the alleged victim not take any actions that could destroy physical evidence, and then notify security staff?	yes
<b>115.65 (a)</b>	<b>Coordinated response</b>	
	Has the facility developed a written institutional plan to coordinate actions among staff first responders, medical and mental health practitioners, investigators, and facility leadership taken in	yes

	response to an incident of sexual abuse?	
<b>115.66 (a)</b>	<b>Preservation of ability to protect inmates from contact with abusers</b>	
	Are both the agency and any other governmental entities responsible for collective bargaining on the agency's behalf prohibited from entering into or renewing any collective bargaining agreement or other agreement that limit the agency's ability to remove alleged staff sexual abusers from contact with any inmates pending the outcome of an investigation or of a determination of whether and to what extent discipline is warranted?	yes
<b>115.67 (a)</b>	<b>Agency protection against retaliation</b>	
	Has the agency established a policy to protect all inmates and staff who report sexual abuse or sexual harassment or cooperate with sexual abuse or sexual harassment investigations from retaliation by other inmates or staff?	yes
	Has the agency designated which staff members or departments are charged with monitoring retaliation?	yes
<b>115.67 (b)</b>	<b>Agency protection against retaliation</b>	
	Does the agency employ multiple protection measures, such as housing changes or transfers for inmate victims or abusers, removal of alleged staff or inmate abusers from contact with victims, and emotional support services for inmates or staff who fear retaliation for reporting sexual abuse or sexual harassment or for cooperating with investigations?	yes
<b>115.67 (c)</b>	<b>Agency protection against retaliation</b>	
	Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor the conduct and treatment of inmates or staff who reported the sexual abuse to see if there are changes that may suggest possible retaliation by inmates or staff?	yes
	Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor the conduct and treatment of inmates who were reported to have suffered sexual abuse to see if there are changes that may suggest possible retaliation by inmates or staff?	yes
	Except in instances where the agency determines that a report of	yes

	sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Act promptly to remedy any such retaliation?	
	Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor any inmate disciplinary reports?	yes
	Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor inmate housing changes?	yes
	Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor inmate program changes?	yes
	Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor negative performance reviews of staff?	yes
	Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor reassignments of staff?	yes
	Does the agency continue such monitoring beyond 90 days if the initial monitoring indicates a continuing need?	yes
<b>115.67 (d)</b>	<b>Agency protection against retaliation</b>	
	In the case of inmates, does such monitoring also include periodic status checks?	yes
<b>115.67 (e)</b>	<b>Agency protection against retaliation</b>	
	If any other individual who cooperates with an investigation expresses a fear of retaliation, does the agency take appropriate measures to protect that individual against retaliation?	yes
<b>115.68 (a)</b>	<b>Post-allegation protective custody</b>	
	Is any and all use of segregated housing to protect an inmate who is alleged to have suffered sexual abuse subject to the requirements of § 115.43?	yes
<b>115.71 (a)</b>	<b>Criminal and administrative agency investigations</b>	
	When the agency conducts its own investigations into allegations	yes

	of sexual abuse and sexual harassment, does it do so promptly, thoroughly, and objectively? (N/A if the agency/facility is not responsible for conducting any form of criminal OR administrative sexual abuse investigations. See 115.21(a).)	
	Does the agency conduct such investigations for all allegations, including third party and anonymous reports? (N/A if the agency/facility is not responsible for conducting any form of criminal OR administrative sexual abuse investigations. See 115.21(a).)	yes
<b>115.71 (b)</b>	<b>Criminal and administrative agency investigations</b>	
	Where sexual abuse is alleged, does the agency use investigators who have received specialized training in sexual abuse investigations as required by 115.34?	yes
<b>115.71 (c)</b>	<b>Criminal and administrative agency investigations</b>	
	Do investigators gather and preserve direct and circumstantial evidence, including any available physical and DNA evidence and any available electronic monitoring data?	yes
	Do investigators interview alleged victims, suspected perpetrators, and witnesses?	yes
	Do investigators review prior reports and complaints of sexual abuse involving the suspected perpetrator?	yes
<b>115.71 (d)</b>	<b>Criminal and administrative agency investigations</b>	
	When the quality of evidence appears to support criminal prosecution, does the agency conduct compelled interviews only after consulting with prosecutors as to whether compelled interviews may be an obstacle for subsequent criminal prosecution?	yes
<b>115.71 (e)</b>	<b>Criminal and administrative agency investigations</b>	
	Do agency investigators assess the credibility of an alleged victim, suspect, or witness on an individual basis and not on the basis of that individual's status as inmate or staff?	yes
	Does the agency investigate allegations of sexual abuse without requiring an inmate who alleges sexual abuse to submit to a polygraph examination or other truth-telling device as a condition for proceeding?	yes
<b>115.71 (f)</b>	<b>Criminal and administrative agency investigations</b>	
	Do administrative investigations include an effort to determine whether staff actions or failures to act contributed to the abuse?	yes

	Are administrative investigations documented in written reports that include a description of the physical evidence and testimonial evidence, the reasoning behind credibility assessments, and investigative facts and findings?	yes
<b>115.71 (g)</b>	<b>Criminal and administrative agency investigations</b>	
	Are criminal investigations documented in a written report that contains a thorough description of the physical, testimonial, and documentary evidence and attaches copies of all documentary evidence where feasible?	yes
<b>115.71 (h)</b>	<b>Criminal and administrative agency investigations</b>	
	Are all substantiated allegations of conduct that appears to be criminal referred for prosecution?	yes
<b>115.71 (i)</b>	<b>Criminal and administrative agency investigations</b>	
	Does the agency retain all written reports referenced in 115.71(f) and (g) for as long as the alleged abuser is incarcerated or employed by the agency, plus five years?	yes
<b>115.71 (j)</b>	<b>Criminal and administrative agency investigations</b>	
	Does the agency ensure that the departure of an alleged abuser or victim from the employment or control of the agency does not provide a basis for terminating an investigation?	yes
<b>115.71 (l)</b>	<b>Criminal and administrative agency investigations</b>	
	When an outside entity investigates sexual abuse, does the facility cooperate with outside investigators and endeavor to remain informed about the progress of the investigation? (N/A if an outside agency does not conduct administrative or criminal sexual abuse investigations. See 115.21(a).)	yes
<b>115.72 (a)</b>	<b>Evidentiary standard for administrative investigations</b>	
	Is it true that the agency does not impose a standard higher than a preponderance of the evidence in determining whether allegations of sexual abuse or sexual harassment are substantiated?	yes
<b>115.73 (a)</b>	<b>Reporting to inmates</b>	
	Following an investigation into an inmate's allegation that he or she suffered sexual abuse in an agency facility, does the agency inform the inmate as to whether the allegation has been determined to be substantiated, unsubstantiated, or unfounded?	yes



<b>115.73 (b)</b>	<b>Reporting to inmates</b>	
	If the agency did not conduct the investigation into an inmate's allegation of sexual abuse in an agency facility, does the agency request the relevant information from the investigative agency in order to inform the inmate? (N/A if the agency/facility is responsible for conducting administrative and criminal investigations.)	yes
<b>115.73 (c)</b>	<b>Reporting to inmates</b>	
	Following an inmate's allegation that a staff member has committed sexual abuse against the resident, unless the agency has determined that the allegation is unfounded, or unless the inmate has been released from custody, does the agency subsequently inform the resident whenever: The staff member is no longer posted within the inmate's unit?	yes
	Following an inmate's allegation that a staff member has committed sexual abuse against the resident, unless the agency has determined that the allegation is unfounded, or unless the resident has been released from custody, does the agency subsequently inform the resident whenever: The staff member is no longer employed at the facility?	yes
	Following an inmate's allegation that a staff member has committed sexual abuse against the resident, unless the agency has determined that the allegation is unfounded, or unless the resident has been released from custody, does the agency subsequently inform the resident whenever: The agency learns that the staff member has been indicted on a charge related to sexual abuse in the facility?	yes
	Following an inmate's allegation that a staff member has committed sexual abuse against the resident, unless the agency has determined that the allegation is unfounded, or unless the resident has been released from custody, does the agency subsequently inform the resident whenever: The agency learns that the staff member has been convicted on a charge related to sexual abuse within the facility?	yes
<b>115.73 (d)</b>	<b>Reporting to inmates</b>	
	Following an inmate's allegation that he or she has been sexually abused by another inmate, does the agency subsequently inform the alleged victim whenever: The agency learns that the alleged abuser has been indicted on a charge related to sexual abuse within the facility?	yes
	Following an inmate's allegation that he or she has been sexually	yes

	abused by another inmate, does the agency subsequently inform the alleged victim whenever: The agency learns that the alleged abuser has been convicted on a charge related to sexual abuse within the facility?	
<b>115.73 (e)</b>	<b>Reporting to inmates</b>	
	Does the agency document all such notifications or attempted notifications?	yes
<b>115.76 (a)</b>	<b>Disciplinary sanctions for staff</b>	
	Are staff subject to disciplinary sanctions up to and including termination for violating agency sexual abuse or sexual harassment policies?	yes
<b>115.76 (b)</b>	<b>Disciplinary sanctions for staff</b>	
	Is termination the presumptive disciplinary sanction for staff who have engaged in sexual abuse?	yes
<b>115.76 (c)</b>	<b>Disciplinary sanctions for staff</b>	
	Are disciplinary sanctions for violations of agency policies relating to sexual abuse or sexual harassment (other than actually engaging in sexual abuse) commensurate with the nature and circumstances of the acts committed, the staff member's disciplinary history, and the sanctions imposed for comparable offenses by other staff with similar histories?	yes
<b>115.76 (d)</b>	<b>Disciplinary sanctions for staff</b>	
	Are all terminations for violations of agency sexual abuse or sexual harassment policies, or resignations by staff who would have been terminated if not for their resignation, reported to: Law enforcement agencies(unless the activity was clearly not criminal)?	yes
	Are all terminations for violations of agency sexual abuse or sexual harassment policies, or resignations by staff who would have been terminated if not for their resignation, reported to: Relevant licensing bodies?	yes
<b>115.77 (a)</b>	<b>Corrective action for contractors and volunteers</b>	
	Is any contractor or volunteer who engages in sexual abuse prohibited from contact with inmates?	yes
	Is any contractor or volunteer who engages in sexual abuse reported to: Law enforcement agencies (unless the activity was clearly not criminal)?	yes

	Is any contractor or volunteer who engages in sexual abuse reported to: Relevant licensing bodies?	yes
<b>115.77 (b)</b>	<b>Corrective action for contractors and volunteers</b>	
	In the case of any other violation of agency sexual abuse or sexual harassment policies by a contractor or volunteer, does the facility take appropriate remedial measures, and consider whether to prohibit further contact with inmates?	yes
<b>115.78 (a)</b>	<b>Disciplinary sanctions for inmates</b>	
	Following an administrative finding that an inmate engaged in inmate-on-inmate sexual abuse, or following a criminal finding of guilt for inmate-on-inmate sexual abuse, are inmates subject to disciplinary sanctions pursuant to a formal disciplinary process?	yes
<b>115.78 (b)</b>	<b>Disciplinary sanctions for inmates</b>	
	Are sanctions commensurate with the nature and circumstances of the abuse committed, the inmate's disciplinary history, and the sanctions imposed for comparable offenses by other inmates with similar histories?	yes
<b>115.78 (c)</b>	<b>Disciplinary sanctions for inmates</b>	
	When determining what types of sanction, if any, should be imposed, does the disciplinary process consider whether an inmate's mental disabilities or mental illness contributed to his or her behavior?	yes
<b>115.78 (d)</b>	<b>Disciplinary sanctions for inmates</b>	
	If the facility offers therapy, counseling, or other interventions designed to address and correct underlying reasons or motivations for the abuse, does the facility consider whether to require the offending inmate to participate in such interventions as a condition of access to programming and other benefits?	yes
<b>115.78 (e)</b>	<b>Disciplinary sanctions for inmates</b>	
	Does the agency discipline an inmate for sexual contact with staff only upon a finding that the staff member did not consent to such contact?	yes
<b>115.78 (f)</b>	<b>Disciplinary sanctions for inmates</b>	
	For the purpose of disciplinary action does a report of sexual abuse made in good faith based upon a reasonable belief that the alleged conduct occurred NOT constitute falsely reporting an incident or lying, even if an investigation does not establish	yes

	evidence sufficient to substantiate the allegation?	
<b>115.78 (g)</b>	<b>Disciplinary sanctions for inmates</b>	
	If the agency prohibits all sexual activity between inmates, does the agency always refrain from considering non-coercive sexual activity between inmates to be sexual abuse? (N/A if the agency does not prohibit all sexual activity between inmates.)	yes
<b>115.81 (a)</b>	<b>Medical and mental health screenings; history of sexual abuse</b>	
	If the screening pursuant to § 115.41 indicates that a prison inmate has experienced prior sexual victimization, whether it occurred in an institutional setting or in the community, do staff ensure that the inmate is offered a follow-up meeting with a medical or mental health practitioner within 14 days of the intake screening? (N/A if the facility is not a prison).	yes
<b>115.81 (b)</b>	<b>Medical and mental health screenings; history of sexual abuse</b>	
	If the screening pursuant to § 115.41 indicates that a prison inmate has previously perpetrated sexual abuse, whether it occurred in an institutional setting or in the community, do staff ensure that the inmate is offered a follow-up meeting with a mental health practitioner within 14 days of the intake screening? (N/A if the facility is not a prison.)	yes
<b>115.81 (c)</b>	<b>Medical and mental health screenings; history of sexual abuse</b>	
	If the screening pursuant to § 115.41 indicates that a jail inmate has experienced prior sexual victimization, whether it occurred in an institutional setting or in the community, do staff ensure that the inmate is offered a follow-up meeting with a medical or mental health practitioner within 14 days of the intake screening? (N/A if the facility is not a jail).	na
<b>115.81 (d)</b>	<b>Medical and mental health screenings; history of sexual abuse</b>	
	Is any information related to sexual victimization or abusiveness that occurred in an institutional setting strictly limited to medical and mental health practitioners and other staff as necessary to inform treatment plans and security management decisions, including housing, bed, work, education, and program assignments, or as otherwise required by Federal, State, or local law?	yes
<b>115.81 (e)</b>	<b>Medical and mental health screenings; history of sexual abuse</b>	
	Do medical and mental health practitioners obtain informed consent from inmates before reporting information about prior	yes

	sexual victimization that did not occur in an institutional setting, unless the inmate is under the age of 18?	
<b>115.82 (a)</b>	<b>Access to emergency medical and mental health services</b>	
	Do inmate victims of sexual abuse receive timely, unimpeded access to emergency medical treatment and crisis intervention services, the nature and scope of which are determined by medical and mental health practitioners according to their professional judgment?	yes
<b>115.82 (b)</b>	<b>Access to emergency medical and mental health services</b>	
	If no qualified medical or mental health practitioners are on duty at the time a report of recent sexual abuse is made, do security staff first responders take preliminary steps to protect the victim pursuant to § 115.62?	yes
	Do security staff first responders immediately notify the appropriate medical and mental health practitioners?	yes
<b>115.82 (c)</b>	<b>Access to emergency medical and mental health services</b>	
	Are inmate victims of sexual abuse offered timely information about and timely access to emergency contraception and sexually transmitted infections prophylaxis, in accordance with professionally accepted standards of care, where medically appropriate?	yes
<b>115.82 (d)</b>	<b>Access to emergency medical and mental health services</b>	
	Are treatment services provided to the victim without financial cost and regardless of whether the victim names the abuser or cooperates with any investigation arising out of the incident?	yes
<b>115.83 (a)</b>	<b>Ongoing medical and mental health care for sexual abuse victims and abusers</b>	
	Does the facility offer medical and mental health evaluation and, as appropriate, treatment to all inmates who have been victimized by sexual abuse in any prison, jail, lockup, or juvenile facility?	yes
<b>115.83 (b)</b>	<b>Ongoing medical and mental health care for sexual abuse victims and abusers</b>	
	Does the evaluation and treatment of such victims include, as appropriate, follow-up services, treatment plans, and, when necessary, referrals for continued care following their transfer to, or placement in, other facilities, or their release from custody?	yes
<b>115.83 (c)</b>	<b>Ongoing medical and mental health care for sexual abuse</b>	

	<b>victims and abusers</b>	
	Does the facility provide such victims with medical and mental health services consistent with the community level of care?	yes
<b>115.83 (d)</b>	<b>Ongoing medical and mental health care for sexual abuse victims and abusers</b>	
	Are inmate victims of sexually abusive vaginal penetration while incarcerated offered pregnancy tests? (N/A if "all male" facility. Note: in "all male" facilities there may be inmates who identify as transgender men who may have female genitalia. Auditors should be sure to know whether such individuals may be in the population and whether this provision may apply in specific circumstances.)	yes
<b>115.83 (e)</b>	<b>Ongoing medical and mental health care for sexual abuse victims and abusers</b>	
	If pregnancy results from the conduct described in paragraph § 115.83(d), do such victims receive timely and comprehensive information about and timely access to all lawful pregnancy-related medical services? (N/A if "all male" facility. Note: in "all male" facilities there may be inmates who identify as transgender men who may have female genitalia. Auditors should be sure to know whether such individuals may be in the population and whether this provision may apply in specific circumstances.)	na
<b>115.83 (f)</b>	<b>Ongoing medical and mental health care for sexual abuse victims and abusers</b>	
	Are inmate victims of sexual abuse while incarcerated offered tests for sexually transmitted infections as medically appropriate?	yes
<b>115.83 (g)</b>	<b>Ongoing medical and mental health care for sexual abuse victims and abusers</b>	
	Are treatment services provided to the victim without financial cost and regardless of whether the victim names the abuser or cooperates with any investigation arising out of the incident?	yes
<b>115.83 (h)</b>	<b>Ongoing medical and mental health care for sexual abuse victims and abusers</b>	
	If the facility is a prison, does it attempt to conduct a mental health evaluation of all known inmate-on-inmate abusers within 60 days of learning of such abuse history and offer treatment when deemed appropriate by mental health practitioners? (NA if the facility is a jail.)	yes

<b>115.86 (a)</b>	<b>Sexual abuse incident reviews</b>	
	Does the facility conduct a sexual abuse incident review at the conclusion of every sexual abuse investigation, including where the allegation has not been substantiated, unless the allegation has been determined to be unfounded?	yes
<b>115.86 (b)</b>	<b>Sexual abuse incident reviews</b>	
	Does such review ordinarily occur within 30 days of the conclusion of the investigation?	yes
<b>115.86 (c)</b>	<b>Sexual abuse incident reviews</b>	
	Does the review team include upper-level management officials, with input from line supervisors, investigators, and medical or mental health practitioners?	yes
<b>115.86 (d)</b>	<b>Sexual abuse incident reviews</b>	
	Does the review team: Consider whether the allegation or investigation indicates a need to change policy or practice to better prevent, detect, or respond to sexual abuse?	yes
	Does the review team: Consider whether the incident or allegation was motivated by race; ethnicity; gender identity; lesbian, gay, bisexual, transgender, or intersex identification, status, or perceived status; gang affiliation; or other group dynamics at the facility?	yes
	Does the review team: Examine the area in the facility where the incident allegedly occurred to assess whether physical barriers in the area may enable abuse?	yes
	Does the review team: Assess the adequacy of staffing levels in that area during different shifts?	yes
	Does the review team: Assess whether monitoring technology should be deployed or augmented to supplement supervision by staff?	yes
	Does the review team: Prepare a report of its findings, including but not necessarily limited to determinations made pursuant to §§ 115.86(d)(1)-(d)(5), and any recommendations for improvement and submit such report to the facility head and PREA compliance manager?	yes
<b>115.86 (e)</b>	<b>Sexual abuse incident reviews</b>	
	Does the facility implement the recommendations for improvement, or document its reasons for not doing so?	yes

<b>115.87 (a)</b>	<b>Data collection</b>	
	Does the agency collect accurate, uniform data for every allegation of sexual abuse at facilities under its direct control using a standardized instrument and set of definitions?	yes
<b>115.87 (b)</b>	<b>Data collection</b>	
	Does the agency aggregate the incident-based sexual abuse data at least annually?	yes
<b>115.87 (c)</b>	<b>Data collection</b>	
	Does the incident-based data include, at a minimum, the data necessary to answer all questions from the most recent version of the Survey of Sexual Violence conducted by the Department of Justice?	yes
<b>115.87 (d)</b>	<b>Data collection</b>	
	Does the agency maintain, review, and collect data as needed from all available incident-based documents, including reports, investigation files, and sexual abuse incident reviews?	yes
<b>115.87 (e)</b>	<b>Data collection</b>	
	Does the agency also obtain incident-based and aggregated data from every private facility with which it contracts for the confinement of its inmates? (N/A if agency does not contract for the confinement of its inmates.)	na
<b>115.87 (f)</b>	<b>Data collection</b>	
	Does the agency, upon request, provide all such data from the previous calendar year to the Department of Justice no later than June 30? (N/A if DOJ has not requested agency data.)	yes
<b>115.88 (a)</b>	<b>Data review for corrective action</b>	
	Does the agency review data collected and aggregated pursuant to § 115.87 in order to assess and improve the effectiveness of its sexual abuse prevention, detection, and response policies, practices, and training, including by: Identifying problem areas?	yes
	Does the agency review data collected and aggregated pursuant to § 115.87 in order to assess and improve the effectiveness of its sexual abuse prevention, detection, and response policies, practices, and training, including by: Taking corrective action on an ongoing basis?	yes
	Does the agency review data collected and aggregated pursuant	yes



	to § 115.87 in order to assess and improve the effectiveness of its sexual abuse prevention, detection, and response policies, practices, and training, including by: Preparing an annual report of its findings and corrective actions for each facility, as well as the agency as a whole?	
<b>115.88 (b)</b>	<b>Data review for corrective action</b>	
	Does the agency's annual report include a comparison of the current year's data and corrective actions with those from prior years and provide an assessment of the agency's progress in addressing sexual abuse?	yes
<b>115.88 (c)</b>	<b>Data review for corrective action</b>	
	Is the agency's annual report approved by the agency head and made readily available to the public through its website or, if it does not have one, through other means?	yes
<b>115.88 (d)</b>	<b>Data review for corrective action</b>	
	Does the agency indicate the nature of the material redacted where it redacts specific material from the reports when publication would present a clear and specific threat to the safety and security of a facility?	yes
<b>115.89 (a)</b>	<b>Data storage, publication, and destruction</b>	
	Does the agency ensure that data collected pursuant to § 115.87 are securely retained?	yes
<b>115.89 (b)</b>	<b>Data storage, publication, and destruction</b>	
	Does the agency make all aggregated sexual abuse data, from facilities under its direct control and private facilities with which it contracts, readily available to the public at least annually through its website or, if it does not have one, through other means?	yes
<b>115.89 (c)</b>	<b>Data storage, publication, and destruction</b>	
	Does the agency remove all personal identifiers before making aggregated sexual abuse data publicly available?	yes
<b>115.89 (d)</b>	<b>Data storage, publication, and destruction</b>	
	Does the agency maintain sexual abuse data collected pursuant to § 115.87 for at least 10 years after the date of the initial collection, unless Federal, State, or local law requires otherwise?	yes
<b>115.401 (a)</b>	<b>Frequency and scope of audits</b>	

	During the prior three-year audit period, did the agency ensure that each facility operated by the agency, or by a private organization on behalf of the agency, was audited at least once? (Note: The response here is purely informational. A "no" response does not impact overall compliance with this standard.)	yes
<b>115.401 (b)</b>	<b>Frequency and scope of audits</b>	
	Is this the first year of the current audit cycle? (Note: a "no" response does not impact overall compliance with this standard.)	no
	If this is the second year of the current audit cycle, did the agency ensure that at least one-third of each facility type operated by the agency, or by a private organization on behalf of the agency, was audited during the first year of the current audit cycle? (N/A if this is not the second year of the current audit cycle.)	na
	If this is the third year of the current audit cycle, did the agency ensure that at least two-thirds of each facility type operated by the agency, or by a private organization on behalf of the agency, were audited during the first two years of the current audit cycle? (N/A if this is not the third year of the current audit cycle.)	yes
<b>115.401 (h)</b>	<b>Frequency and scope of audits</b>	
	Did the auditor have access to, and the ability to observe, all areas of the audited facility?	yes
<b>115.401 (i)</b>	<b>Frequency and scope of audits</b>	
	Was the auditor permitted to request and receive copies of any relevant documents (including electronically stored information)?	yes
<b>115.401 (m)</b>	<b>Frequency and scope of audits</b>	
	Was the auditor permitted to conduct private interviews with inmates, residents, and detainees?	yes
<b>115.401 (n)</b>	<b>Frequency and scope of audits</b>	
	Were inmates permitted to send confidential information or correspondence to the auditor in the same manner as if they were communicating with legal counsel?	yes
<b>115.403</b>	<b>Audit contents and findings</b>	

(f)	
	<div data-bbox="320 185 1273 555"> <p>The agency has published on its agency website, if it has one, or has otherwise made publicly available, all Final Audit Reports. The review period is for prior audits completed during the past three years PRECEDING THIS AUDIT. The pendency of any agency appeal pursuant to 28 C.F.R. § 115.405 does not excuse noncompliance with this provision. (N/A if there have been no Final Audit Reports issued in the past three years, or, in the case of single facility agencies, there has never been a Final Audit Report issued.)</p> </div> <div data-bbox="1302 185 1353 219">yes</div>